



B25

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 : C12P 21/08, C12N 15/13 A61K 39/395, C07K 15/06 C12N 5/10, 15/62		A1	(11) International Publication Number: <b>WO 91/09967</b>
			(43) International Publication Date: 11 July 1991 (11.07.91)
(21) International Application Number: PCT/GB90/02017			(74) Agent: MERCER, Christopher, Paul; Carpmaels & Ransford, 43 Bloomsbury Square, London WC1A 2RA (GB).
(22) International Filing Date: 21 December 1990 (21.12.90)			
(30) Priority data: 8928874.0 21 December 1989 (21.12.89) GB			(81) Designated States: AT, AT (European patent), AU, BB, BE (European patent), BF (OAPI patent), BG, BJ (OAPI patent), BR, CF (OAPI patent), CG (OAPI patent), CH, CH (European patent), CM (OAPI patent), DE, DE (European patent), DK, FI, FR (European patent), GA (OAPI patent), GB, GB (European patent), GR, HU, IT (European patent), JP, KP, KR, LK, LU, LU (European patent), MC, MG, ML (OAPI patent), MR (OAPI patent), MW, NL, NL (European patent), NO, RO, SD, SE, SE (European patent), SN (OAPI patent), SU, TD (OAPI patent), TG (OAPI patent), US.
(71) Applicant (for all designated States except US): CELLTECH LIMITED [GB/GB]; 216 Bath Road, Slough, Berkshire SL1 4EN (GB).			
(72) Inventors; and			
(75) Inventors/Applicants (for US only) : ADAIR, John, Robert [GB/GB]; 23 George Road, Stokenchurch, High Wycombe, Buckinghamshire HP14 3RN (GB). ATHWAL, Diljeet, Singh [GB/GB]; Flat 35, Knollys House, Tavistock Square, London WC1 (GB). EMTAGE, John, Spencer [GB/GB]; 49 Temple Mill Island, Temple, Marlow, Buckinghamshire SL7 1SQ (GB).			
			Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> <i>With a request for rectification under Rule 91.1(f).</i>

## (54) Title: HUMANISED ANTIBODIES

## (57) Abstract

CDR-grafted antibody heavy and light chains comprise acceptor framework and donor antigen binding regions, the heavy chains comprising donor residues at at least one of positions (6, 23) and/or (24, 48) and/or (49, 71) and/or (73, 75) and/or (76) and/or (78) and (88) and/or (91). The CDR-grafted light chains comprise donor residues at at least one of positions (1) and/or (3) and (46) and/or (47) or at at least one of positons (46, 48, 58) and (71). The CDR-grafted antibodies are preferably humanised antibodies, having non human, e.g. rodent, donor and human acceptor frameworks, and may be used for *in vivo* therapy and diagnosis. A generally applicable protocol is disclosed for obtaining CDR-grafted antibodies.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MG	Madagascar
AU	Australia	FI	Finland	ML	Mali
BB	Barbados	FR	France	MN	Mongolia
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Faso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GN	Guinea	NL	Netherlands
BJ	Benin	GR	Greece	NO	Norway
BR	Brazil	HU	Hungary	PL	Poland
CA	Canada	IT	Italy	RO	Romania
CF	Central African Republic	JP	Japan	SD	Sudan
CG	Congo	KP	Democratic People's Republic of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SN	Senegal
CI	Côte d'Ivoire	LI	Liechtenstein	SU	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TC	Togo
DE	Germany	MC	Monaco	US	United States of America
DK	Denmark				

HUMANISED ANTIBODIESField of the Invention

The present invention relates to humanised antibody molecules, to processes for their production using recombinant DNA technology, and to their therapeutic uses.

The term "humanised antibody molecule" is used to describe a molecule having an antigen binding site derived from an immunoglobulin from a non-human species, and remaining immunoglobulin-derived parts of the molecule being derived from a human immunoglobulin. The antigen binding site typically comprises complementarity determining regions (CDRs) which determine the binding specificity of the antibody molecule and which are carried on appropriate framework regions in the variable domains. There are 3 CDRs (CDR1, CDR2 and CDR3) in each of the heavy and light chain variable domains.

In the description, reference is made to a number of publications by number. The publications are listed in numerical order at the end of the description.

Background of the Invention

Natural immunoglobulins have been known for many years, as have the various fragments thereof, such as the Fab, (Fab')<sub>2</sub> and Fc fragments, which can be derived by enzymatic cleavage. Natural immunoglobulins comprise a generally Y-shaped molecule having an antigen-binding site towards the end of each upper arm. The remainder of the structure, and particularly the stem of the Y, mediates the effector functions associated with immunoglobulins.

Natural immunoglobulins have been used in assay, diagnosis and, to a more limited extent, therapy. However, such uses, especially in therapy, were hindered until recently by the polyclonal nature of natural immunoglobulins. A significant step towards the realisation of the potential

- 2 -

of immunoglobulins as therapeutic agents was the discovery of procedures for the production of monoclonal antibodies (MAbs) of defined specificity (1).

However, most MAbs are produced by hybridomas which are fusions of rodent spleen cells with rodent myeloma cells. They are therefore essentially rodent proteins. There are very few reports of the production of human MAbs.

Since most available MAbs are of rodent origin, they are naturally antigenic in humans and thus can give rise to an undesirable immune response termed the HAMA (Human Anti-Mouse Antibody) response. Therefore, the use of rodent MAbs as therapeutic agents in humans is inherently limited by the fact that the human subject will mount an immunological response to the MAb and will either remove it entirely or at least reduce its effectiveness. In practice, MAbs of rodent origin may not be used in patients for more than one or a few treatments as a HAMA response soon develops rendering the MAb ineffective as well as giving rise to undesirable reactions. For instance, OKT3 a mouse IgG2a/k MAb which recognises an antigen in the T-cell receptor-CD3 complex has been approved for use in many countries throughout the world as an immunosuppressant in the treatment of acute allograft rejection [Chatenoud et al (2) and Jeffers et al (3)]. However, in view of the rodent nature of this and other such MAbs, a significant HAMA response which may include a major anti-idiotype component, may build up on use. Clearly, it would be highly desirable to diminish or abolish this undesirable HAMA response and thus enlarge the areas of use of these very useful antibodies.

Proposals have therefore been made to render non-human MAbs less antigenic in humans. Such techniques can be generically termed "humanisation" techniques. These

- 3 -

techniques typically involve the use of recombinant DNA technology to manipulate DNA sequences encoding the polypeptide chains of the antibody molecule.

Early methods for humanising MAbs involved production of chimeric antibodies in which an antigen binding site comprising the complete variable domains of one antibody is linked to constant domains derived from another antibody. Methods for carrying out such chimerisation procedures are described in EP0120694 (Celltech Limited), EP0125023 (Genentech Inc. and City of Hope), EP-A-0 171496 (Res. Dev. Corp. Japan), EP-A-0 173 494 (Stanford University), and WO 86/01533 (Celltech Limited). This latter Celltech application (WO 86/01533) discloses a process for preparing an antibody molecule having the variable domains from a mouse MAb and the constant domains from a human immunoglobulin. Such humanised chimeric antibodies, however, still contain a significant proportion of non-human amino acid sequence, i.e. the complete non-human variable domains, and thus may still elicit some HAMA response, particularly if administered over a prolonged period [Begent *et al* (ref. 4)].

In an alternative approach, described in EP-A-0239400 (Winter), the complementarity determining regions (CDRs) of a mouse MAb have been grafted onto the framework regions of the variable domains of a human immunoglobulin by site directed mutagenesis using long oligonucleotides. The present invention relates to humanised antibody molecules prepared according to this alternative approach, i.e. CDR-grafted humanised antibody molecules. Such CDR-grafted humanised antibodies are much less likely to give rise to a HAMA response than humanised chimeric antibodies in view of the much lower proportion of non-human amino acid sequence which they contain.

- 4 -

The earliest work on humanising MAbs by CDR-grafting was carried out on MAbs recognising synthetic antigens, such as the NP or NIP antigens. However, examples in which a mouse MAb recognising lysozyme and a rat MAb recognising an antigen on human T-cells were humanised by CDR-grafting have been described by Verhoeven et al (5) and Riechmann et al (6) respectively. The preparation of CDR-grafted antibody to the antigen on human T cells is also described in WO 89/07452 (Medical Research Council).

In Riechmann et al/Medical Research Council it was found that transfer of the CDR regions alone [as defined by Kabat refs. (7) and (8)] was not sufficient to provide satisfactory antigen binding activity in the CDR-grafted product. Riechmann et al found that it was necessary to convert a serine residue at position 27 of the human sequence to the corresponding rat phenylalanine residue to obtain a CDR-grafted product having improved antigen binding activity. This residue at position 27 of the heavy chain is within the structural loop adjacent to CDR1. A further construct which additionally contained a human serine to rat tyrosine change at position 30 of the heavy chain did not have a significantly altered binding activity over the humanised antibody with the serine to phenylalanine change at position 27 alone. These results indicate that changes to residues of the human sequence outside the CDR regions, in particular in the structural loop adjacent to CDR1, may be necessary to obtain effective antigen binding activity for CDR-grafted antibodies which recognise more complex antigens. Even so the binding affinity of the best CDR-grafted antibodies obtained was still significantly less than the original MAb.

Very recently Queen et al (9) have described the preparation of a humanised antibody that binds to the

- 5 -

interleukin 2 receptor, by combining the CDRs of a murine MAb (anti-Tac) with human immunoglobulin framework and constant regions. The human framework regions were chosen to maximise homology with the anti-Tac MAb sequence. In addition computer modelling was used to identify framework amino acid residues which were likely to interact with the CDRs or antigen, and mouse amino acids were used at these positions in the humanised antibody.

In WO 90/07861 Queen et al propose four criteria for designing humanised immunoglobulins. The first criterion is to use as the human acceptor the framework from a particular human immunoglobulin that is unusually homologous to the non-human donor immunoglobulin to be humanised, or to use a consensus framework from many human antibodies. The second criterion is to use the donor amino acid rather than the acceptor if the human acceptor residue is unusual and the donor residue is typical for human sequences at a specific residue of the framework. The third criterion is to use the donor framework amino acid residue rather than the acceptor at positions immediately adjacent to the CDRs. The fourth criterion is to use the donor amino acid residue at framework positions at which the amino acid is predicted to have a side chain atom within about 3 Å of the CDRs in a three-dimensional immunoglobulin model and to be capable of interacting with the antigen or with the CDRs of the humanised immunoglobulin. It is proposed that criteria two, three or four may be applied in addition or alternatively to criterion one, and may be applied singly or in any combination.

WO 90/07861 describes in detail the preparation of a single CDR-grafted humanised antibody, a humanised antibody having specificity for the p55 Tac protein of the

- 6 -

IL-2 receptor. The combination of all four criteria, as above, were employed in designing this humanised antibody, the variable region frameworks of the human antibody Eu (7) being used as acceptor. In the resultant humanised antibody the donor CDRs were as defined by Kabat *et al* (7 and 8) and in addition the mouse donor residues were used in place of the human acceptor residues, at positions 27, 30, 48, 66, 67, 89, 91, 94, 103, 104, 105 and 107 in the heavy chain and at positions 48, 60 and 63 in the light chain, of the variable region frameworks. The humanised anti-Tac antibody obtained is reported to have an affinity for p55 of  $3 \times 10^9 \text{ M}^{-1}$ , about one-third of that of the murine MAb.

We have further investigated the preparation of CDR-grafted humanised antibody molecules and have identified a hierarchy of positions within the framework of the variable regions (i.e. outside both the Kabat CDRs and structural loops of the variable regions) at which the amino acid identities of the residues are important for obtaining CDR-grafted products with satisfactory binding affinity. This has enabled us to establish a protocol for obtaining satisfactory CDR-grafted products which may be applied very widely irrespective of the level of homology between the donor immunoglobulin and acceptor framework. The set of residues which we have identified as being of critical importance does not coincide with the residues identified by Queen *et al* (9).

#### Summary of the Invention

Accordingly, in a first aspect the invention provides a CDR-grafted antibody heavy chain having a variable region domain comprising acceptor framework and donor antigen binding regions wherein the framework comprises donor residues at at least one of positions 6, 23 and/or 24, 48 and/or 49, 71 and/or 73, 75 and/or 76 and/or 78 and 88 and/or 91.

- 7 -

In preferred embodiments, the heavy chain framework comprises donor residues at positions 23, 24, 49, 71, 73 and 78 or at positions 23, 24 and 49. The residues at positions 71, 73 and 78 of the heavy chain framework are preferably either all acceptor or all donor residues.

In particularly preferred embodiments the heavy chain framework additionally comprises donor residues at one, some or all of positions 6, 37, 48 and 94. Also it is particularly preferred that residues at positions of the heavy chain framework which are commonly conserved across species, i.e. positions 2, 4, 25, 36, 39, 47, 93, 103, 104, 106 and 107, if not conserved between donor and acceptor, additionally comprise donor residues. Most preferably the heavy chain framework additionally comprises donor residues at positions 2, 4, 6, 25, 36, 37, 39, 47, 48, 93, 94, 103, 104, 106 and 107.

In addition the heavy chain framework optionally comprises donor residues at one, some or all of positions:  
1 and 3,  
72 and 76,  
69 (if 48 is different between donor and acceptor),  
38 and 46 (if 48 is the donor residue),  
80 and 20 (if 69 is the donor residue),  
67,  
82 and 18 (if 67 is the donor residue),  
91,  
88, and  
any one or more of 9, 11, 41, 87, 108, 110 and 112.

In the first and other aspects of the present invention reference is made to CDR-grafted antibody products comprising acceptor framework and donor antigen binding regions. It will be appreciated that the invention is widely applicable to the CDR-grafting of antibodies in

- 8 -

general. Thus, the donor and acceptor antibodies may be derived from animals of the same species and even same antibody class or sub-class. More usually, however, the donor and acceptor antibodies are derived from animals of different species. Typically the donor antibody is a non-human antibody, such as a rodent MAb, and the acceptor antibody is a human antibody.

In the first and other aspects of the present invention, the donor antigen binding region typically comprises at least one CDR from the donor antibody. Usually the donor antigen binding region comprises at least two and preferably all three CDRs of each of the heavy chain and/or light chain variable regions. The CDRs may comprise the Kabat CDRs, the structural loop CDRs or a composite of the Kabat and structural loop CDRs and any combination of any of these. Preferably, the antigen binding regions of the CDR-grafted heavy chain variable domain comprise CDRs corresponding to the Kabat CDRs at CDR2 (residues 50-65) and CDR3 (residues 95-100) and a composite of the Kabat and structural loop CDRs at CDR1 (residues 26-35).

The residue designations given above and elsewhere in the present application are numbered according to the Kabat numbering [refs. (7) and (8)]. Thus the residue designations do not always correspond directly with the linear numbering of the amino acid residues. The actual linear amino acid sequence may contain fewer or additional amino acids than in the strict Kabat numbering corresponding to a shortening of, or insertion into, a structural component, whether framework or CDR, of the basic variable domain structure. For example, the heavy chain variable region of the anti-Tac antibody described by Queen *et al* (9) contains a single amino acid insert (residue 52a) after residue 52 of CDR2 and a three amino

- 9 -

acid insert (residues 82a, 82b and 82c) after framework residue 82, in the Kabat numbering. The correct Kabat numbering of residues may be determined for a given antibody by alignment at regions of homology of the sequence of the antibody with a "standard" Kabat numbered sequence.

The invention also provides in a second aspect a CDR-grafted antibody light chain having a variable region domain comprising acceptor framework and donor antigen binding regions wherein the framework comprises donor residues at at least one of positions 1 and/or 3 and 46 and/or 47. Preferably the CDR grafted light chain of the second aspect comprises donor residues at positions 46 and/or 47.

The invention also provides in a third aspect a CDR-grafted antibody light chain having a variable region domain comprising acceptor framework and donor antigen binding regions wherein the framework comprises donor residues at at least one of positions 46, 48, 58 and 71.

In a preferred embodiment of the third aspect, the framework comprises donor residues at all of positions 46, 48, 58 and 71.

In particularly preferred embodiments of the second and third aspects, the framework additionally comprises donor residues at positions 36, 44, 47, 85 and 87. Similarly positions of the light chain framework which are commonly conserved across species, i.e. positions 2, 4, 6, 35, 49, 62, 64-69, 98, 99, 101 and 102, if not conserved between donor and acceptor, additionally comprise donor residues. Most preferably the light chain framework additionally comprises donor residues at positions 2, 4, 6, 35, 36, 38, 44, 47, 49, 62, 64-69, 85, 87, 98, 99, 101 and 102.

- 10 -

In addition the framework of the second or third aspects optionally comprises donor residues at one, some or all of positions:

1 and 3,

63,

60 (if 60 and 54 are able to form at potential saltbridge),  
70 (if 70 and 24 are able to form a potential saltbridge),

73 and 21 (if 47 is different between donor and acceptor),

37 and 45 (if 47 is different between donor and acceptor),  
and

any one or more of 10, 12, 40, 80, 103 and 105.

Preferably, the antigen binding regions of the CDR-grafted light chain variable domain comprise CDRs corresponding to the Kabat CDRs at CDR1 (residue 24-34), CDR2 (residues 50-56) and CDR3 (residues 89-97).

The invention further provides in a fourth aspect a CDR-grafted antibody molecule comprising at least one CDR-grafted heavy chain and at least one CDR-grafted light chain according to the first and second or first and third aspects of the invention.

The humanised antibody molecules and chains of the present invention may comprise: a complete antibody molecule, having full length heavy and light chains; a fragment thereof, such as a Fab, (Fab')<sub>2</sub> or FV fragment; a light chain or heavy chain monomer or dimer; or a single chain antibody, e.g. a single chain FV in which heavy and light chain variable regions are joined by a peptide linker; or any other CDR-grafted molecule with the same specificity as the original donor antibody. Similarly the CDR-grafted heavy and light chain variable region may be combined with other antibody domains as appropriate.

- 11 -

Also the heavy or light chains or humanised antibody molecules of the present invention may have attached to them an effector or reporter molecule. For instance, it may have a macrocycle, for chelating a heavy metal atom, or a toxin, such as ricin, attached to it by a covalent bridging structure. Alternatively, the procedures of recombinant DNA technology may be used to produce an immunoglobulin molecule in which the Fc fragment or CH3 domain of a complete immunoglobulin molecule has been replaced by, or has attached thereto by peptide linkage, a functional non-immunoglobulin protein, such as an enzyme or toxin molecule.

Any appropriate acceptor variable region framework sequences may be used having regard to class/type of the donor antibody from which the antigen binding regions are derived. Preferably, the type of acceptor framework used is of the same/similar class/type as the donor antibody. Conveniently, the framework may be chosen to maximise/optimise homology with the donor antibody sequence particularly at positions close or adjacent to the CDRs. However, a high level of homology between donor and acceptor sequences is not important for application of the present invention. The present invention identifies a hierarchy of framework residue positions at which donor residues may be important or desirable for obtaining a CDR-grafted antibody product having satisfactory binding properties. The CDR-grafted products usually have binding affinities of at least  $10^5$  M<sup>-1</sup>, preferably at least about  $10^8$  M<sup>-1</sup>, or especially in the range  $10^8$ - $10^{12}$  M<sup>-1</sup>. In principle, the present invention is applicable to any combination of donor and acceptor antibodies irrespective of the level of homology between their sequences. A protocol for applying the invention to any particular donor-acceptor antibody pair is given hereinafter. Examples of human frameworks which may be

- 12 -

used are KOL, NEWM, REI, EU, LAY and POM (refs. 4 and 5) and the like; for instance KOL and NEWM for the heavy chain and REI for the light chain and EU, LAY and POM for both the heavy chain and the light chain.

Also the constant region domains of the products of the invention may be selected having regard to the proposed function of the antibody in particular the effector functions which may be required. For example, the constant region domains may be human IgA, IgE, IgG or IgM domains. In particular, IgG human constant region domains may be used, especially of the IgG1 and IgG3 isotypes, when the humanised antibody molecule is intended for therapeutic uses, and antibody effector functions are required. Alternatively, IgG2 and IgG4 isotypes may be used when the humanised antibody molecule is intended for therapeutic purposes and antibody effector functions are not required, e.g. for simple blocking of lymphokine activity.

However, the remainder of the antibody molecules need not comprise only protein sequences from immunoglobulins. For instance, a gene may be constructed in which a DNA sequence encoding part of a human immunoglobulin chain is fused to a DNA sequence encoding the amino acid sequence of a functional polypeptide such as an effector or reporter molecule.

Preferably the CDR-grafted antibody heavy and light chain and antibody molecule products are produced by recombinant DNA technology.

Thus in further aspects the invention also includes DNA sequences coding for the CDR-grafted heavy and light chains, cloning and expression vectors containing the DNA sequences, host cells transformed with the DNA sequences

- 13 -

and processes for producing the CDR-grafted chains and antibody molecules comprising expressing the DNA sequences in the transformed host cells.

The general methods by which the vectors may be constructed, transfection methods and culture methods are well known per se and form no part of the invention. Such methods are shown, for instance, in references 10 and 11.

The DNA sequences which encode the donor amino acid sequence may be obtained by methods well known in the art. For example the donor coding sequences may be obtained by genomic cloning, or cDNA cloning from suitable hybridoma cell lines. Positive clones may be screened using appropriate probes for the heavy and light chain genes in question. Also PCR cloning may be used.

DNA coding for acceptor, e.g. human acceptor, sequences may be obtained in any appropriate way. For example DNA sequences coding for preferred human acceptor frameworks such as KOL, REI, EU and NEWM, are widely available to workers in the art.

The standard techniques of molecular biology may be used to prepare DNA sequences coding for the CDR-grafted products. Desired DNA sequences may be synthesised completely or in part using oligonucleotide synthesis techniques. Site-directed mutagenesis and polymerase chain reaction (PCR) techniques may be used as appropriate. For example oligonucleotide directed synthesis as described by Jones et al (ref. 20) may be used. Also oligonucleotide directed mutagenesis of a pre-existing variable region as, for example, described by Verhoeyen et al (ref. 5) or Riechmann et al (ref. 6) may be used. Also enzymatic filling in of gapped

- 14 -

oligonucleotides using T4 DNA polymerase as, for example, described by Queen et al (ref. 9) may be used.

Any suitable host cell/vector system may be used for expression of the DNA sequences coding for the CDR-grafted heavy and light chains. Bacterial e.g. E. coli, and other microbial systems may be used, in particular for expression of antibody fragments such as FAb and (Fab')<sub>2</sub> fragments, and especially FV fragments and single chain antibody fragments e.g. single chain FVs. Eucaryotic e.g. mammalian host cell expression systems may be used for production of larger CDR-grafted antibody products, including complete antibody molecules. Suitable mammalian host cells include CHO cells and myeloma or hybridoma cell lines.

Thus, in a further aspect the present invention provides a process for producing a CDR-grafted antibody product comprising:

(a) producing in an expression vector an operon having a DNA sequence which encodes an antibody heavy chain according to the first aspect of the invention;

and/or

(b) producing in an expression vector an operon having a DNA sequence which encodes a complementary antibody light chain according to the second or third aspect of the invention;

(c) transfecting a host cell with the or each vector; and

(d) culturing the transfected cell line to produce the CDR-grafted antibody product.

- 15 -

The CDR-grafted product may comprise only heavy or light chain derived polypeptide, in which case only a heavy chain or light chain polypeptide coding sequence is used to transfect the host cells.

For production of products comprising both heavy and light chains, the cell line may be transfected with two vectors, the first vector may contain an operon encoding a light chain-derived polypeptide and the second vector containing an operon encoding a heavy chain-derived polypeptide.

Preferably, the vectors are identical, except in so far as the coding sequences and selectable markers are concerned, so as to ensure as far as possible that each polypeptide chain is equally expressed. Alternatively, a single vector may be used, the vector including the sequences encoding both light chain- and heavy chain-derived polypeptides.

The DNA in the coding sequences for the light and heavy chains may comprise cDNA or genomic DNA or both.

However, it is preferred that the DNA sequence encoding the heavy or light chain comprises at least partially, genomic DNA, preferably a fusion of cDNA and genomic DNA.

The present invention is applicable to antibodies of any appropriate specificity. Advantageously, however, the invention may be applied to the humanisation of non-human antibodies which are used for in vivo therapy or diagnosis. Thus the antibodies may be site-specific antibodies such as tumour-specific or cell surface-specific antibodies, suitable for use in in vivo therapy or diagnosis, e.g. tumour imaging. Examples of cell surface-specific antibodies are anti-T cell antibodies, such as anti-CD3, and CD4 and adhesion molecules, such as CR3, ICAM and ELAM. The antibodies may have specificity for interleukins (including lymphokines, growth factors and stimulating factors), hormones and other biologically active compounds, and receptors for any of these. For

- 16 -

example, the antibodies may have specificity for any of the following: Interferons $\alpha$ ,  $\beta$ ,  $\gamma$  or  $\delta$ , IL1, IL2, IL3, or IL4, etc., TNF, GCSF, GMCSF, EPO, hGH, or insulin, etc.

The present invention also includes therapeutic and diagnostic compositions comprising the CDR-grafted products of the invention and uses of such compositions in therapy and diagnosis.

Accordingly in a further aspect the invention provides a therapeutic or diagnostic composition comprising a CDR-grafted antibody heavy or light chain or molecule according to previous aspects of the invention in combination with a pharmaceutically acceptable carrier, diluent or excipient.

Accordingly also the invention provides a method of therapy or diagnosis comprising administering an effective amount of a CDR-grafted antibody heavy or light chain or molecule according to previous aspects of the invention to a human or animal subject.

A preferred protocol for obtaining CDR-grafted antibody heavy and light chains in accordance with the present invention is set out below together with the rationale by which we have derived this protocol. This protocol and rationale are given without prejudice to the generality of the invention as hereinbefore described and defined.

#### Protocol

It is first of all necessary to sequence the DNA coding for the heavy and light chain variable regions of the donor antibody, to determine their amino acid sequences. It is also necessary to choose appropriate acceptor heavy and light chain variable regions, of known amino acid sequences. The CDR-grafted chain is then designed

- 17 -

starting from the basis of the acceptor sequence. It will be appreciated that in some cases the donor and acceptor amino acid residues may be identical at a particular position and thus no change of acceptor framework residue is required.

1. As a first step donor residues are substituted for acceptor residues in the CDRs. For this purpose the CDRs are preferably defined as follows:

Heavy chain	- CDR1: residues 26-35
	- CDR2: residues 50-65
	- CDR3: residues 95-102
Light chain	- CDR1: residues 24-34
	- CDR2: residues 50-56
	- CDR3: residues 89-97

The positions at which donor residues are to be substituted for acceptor in the framework are then chosen as follows, first of all with respect to the heavy chain and subsequently with respect to the light chain.

2. Heavy Chain

- 2.1 Choose donor residues at all of positions 23, 24, 49, 71, 73 and 78 of the heavy chain or all of positions 23, 24 and 49 (71, 73 and 78 are always either all donor or all acceptor).
- 2.2 Check that the following have the same amino acid in donor and acceptor sequences, and if not preferably choose the donor: 2, 4, 6, 25, 36, 37, 39, 47, 48, 93, 94, 103, 104, 106 and 107.

- 18 -

2.3 To further optimise affinity consider choosing donor residues at one, some or any of:

- i. 1, 3
- ii. 72, 76
- iii. If 48 is different between donor and acceptor sequences, consider 69
- iv. If at 48 the donor residue is chosen, consider 38 and 46
- v. If at 69 the donor residue is chosen, consider 80 and then 20
- vi. 67
- vii. If at 67 the donor residue is chosen, consider 82 and then 18
- viii. 91
- ix. 88
- x. 9, 11, 41, 87, 108, 110, 112

3. Light Chain

3.1 Choose donor at 46, 48, 58 and 71

3.2 Check that the following have the same amino acid in donor and acceptor sequences, if not preferably choose donor:

2, 4, 6, 35, 38, 44, 47, 49, 62, 64-69 inclusive, 85, 87, 98, 99, 101 and 102

3.3 To further optimise affinity consider choosing donor residues at one, some or any of:

- i. 1, 3
- ii. 63

- 19 -

- iii. 60, if 60 and 54 are able to form potential saltbridge
- iv. 70, if 70 and 24 are able to form potential saltbridge
- v. 73, and 21 if 47 is different between donor and acceptor
- vi. 37, and 45 if 47 is different between donor and acceptor
- vii. 10, 12, 40, 80, 103, 105

#### Rationale

In order to transfer the binding site of an antibody into a different acceptor framework, a number of factors need to be considered.

##### 1. The extent of the CDRs

The CDRs (Complementary Determining Regions) were defined by Wu and Kabat (refs. 4 and 5) on the basis of an analysis of the variability of different regions of antibody variable regions. Three regions per domain were recognised. In the light chain the sequences are 24-34, 50-56, 89-97 (numbering according to Kabat (ref. 4), Eu Index) inclusive and in the heavy chain the sequences are 31-35, 50-65 and 95-102 inclusive.

When antibody structures became available it became apparent that these CDR regions corresponded in the main to loop regions which extended from the  $\beta$  barrel framework of the light and heavy variable domains. For H1 there was a discrepancy in that the loop was from 26 to 32 inclusive and for H2 the loop was 52 to 56 and for L2 from 50 to 53. However, with the exception of H1 the CDR regions encompassed the loop regions and extended into the  $\beta$  strand

- 20 -

frameworks. In H1 residue 26 tends to be a serine and 27 a phenylalanine or tyr sine, residue 29 is a phenylalanine in most cases. Residues 28 and 30 which are surface residues exposed to solvent might be involved in antigen-binding. A prudent definition of the H1 CDR therefore would include residues 26-35 to include both the loop region and the hypervariable residues 33-35.

It is of interest to note the example of Riechmann et al (ref. 3), who used the residue 31-35 choice for CDR-H1. In order to produce efficient antigen binding, residue 27 also needed to be recruited from the donor (rat) antibody.

2. Non-CDR residues which contribute to antigen binding

By examination of available X-ray structures we have identified a number of residues which may have an effect on net antigen binding and which can be demonstrated by experiment. These residues can be sub-divided into a number of groups.

- 2.1 Surface residues near CDR [all numbering as in Kabat et al (ref. 7)].
- 2.1.1. Heavy Chain - Key residues are 23, 71 and 73. Other residues which may contribute to a lesser extent are 1, 3 and 76. Finally 25 is usually conserved but the murine residue should be used if there is a difference.
- 2.1.2. Light Chain - Many residues close to the CDRs, e.g. 63, 65, 67 and 69 are conserved. If conserved none of the surface residues in the light chain are likely to have a major effect. However, if the murine residue at these positions

- 21 -

is unusual, then it would be of benefit to analyse the likely contribution more closely. Other residues which may also contribute to binding are 1 and 3, and also 60 and 70 if the residues at these positions and at 54 and 24 respectively are potentially able to form a salt bridge i.e. 60 + 54; 70 + 24.

2.2 Packing residues near the CDRs.

2.2.1. Heavy Chain - Key residues are 24, 49 and 78. Other key residues would be 36 if not a tryptophan, 94 if not an arginine, 104 and 106 if not glycines and 107 if not a threonine. Residues which may make a further contribution to stable packing of the heavy chain and hence improved affinity are 2, 4, 6, 38, 46, 67 and 69. 67 packs against the CDR residue 63 and this pair could be either both mouse or both human. Finally, residues which contribute to packing in this region but from a longer range are 18, 20, 80, 82 and 86. 82 packs against 67 and in turn 18 packs against 82. 80 packs against 69 and in turn 20 packs against 80. 86 forms an H bond network with 38 and 46. Many of the mouse-human differences appear minor e.g. Leu-Ile, but could have a minor impact on correct packing which could translate into altered positioning of the CDRs.

2.2.2. Light Chain - Key residues are 48, 58 and 71. Other key residues would be 6 if not glutamine, 35 if not tryptophan, 62 if not phenylalanine or tyrosine, 64, 66, 68, 99 and 101 if not glycines and 102 if not a threonine. Residues which make a further contribution are 2, 4, 37, 45 and 47. Finally residues 73 and 21 and 19 may make long distance packing contributions of a minor nature.

- 22 -

2.3. Residues at the variable domain interface between heavy and light chains - In both the light and heavy chains most of the non-CDR interface residues are conserved. If a conserved residue is replaced by a residue of different character, e.g. size or charge, it should be considered for retention as the murine residue.

2.3.1. Heavy Chain - Residues which need to be considered are 37 if the residue is not a valine but is of larger side chain volume or has a charge or polarity. Other residues are 39 if not a glutamine, 45 if not a leucine, 47 if not a tryptophan, 91 if not a phenylalanine or tyrosine, 93 if not an alanine and 103 if not a tryptophan. Residue 89 is also at the interface but is not in a position where the side chain could be of great impact.

2.3.2. Light Chain - Residues which need to be considered are 36, if not a tyrosine, 38 if not a glutamine, 44 if not a proline, 46, 49 if not a tyrosine, residue 85, residue 87 if not a tyrosine and 98 if not a phenylalanine.

2.4. Variable-Constant region interface - The elbow angle between variable and constant regions may be affected by alterations in packing of key residues in the variable region against the constant region which may affect the position of  $V_L$  and  $V_H$  with respect to one another. Therefore it is worth noting the residues likely to be in contact with the constant region. In the heavy chain the surface residues potentially in contact with the variable region are conserved between mouse and human antibodies therefore the variable region contact residues may influence the V-C interaction. In the light chain the amino acids found at a number of the constant

- 23 -

region contact points vary, and the V & C regions are not in such close proximity as the heavy chain. Therefore the influences of the light chain V-C interface may be minor.

- 2.4.1. Heavy Chain - Contact residues are 7, 11, 41, 87, 108, 110, 112.
- 2.4.2. Light Chain - In the light chain potentially contacting residues are 10, 12, 40, 80, 83, 103 and 105.

The above analysis coupled with our considerable practical experimental experience in the CDR-grafting of a number of different antibodies have lead us to the protocol given above.

The present invention is now described, by way of example only, with reference to the accompanying Figures 1 - 13.

Brief Description of the Figures

- Figure 1 shows DNA and amino acid sequences of the OKT3 light chain;
- Figure 2 shows DNA and amino acid sequences of the OKT3 heavy chain;
- Figure 3 shows the alignment of the OKT3 light variable region amino acid sequence with that of the light variable region of the human antibody REI;
- Figure 4 shows the alignment of the OKT3 heavy variable region amino acid sequence with that of the heavy variable region of the human antibody KOL;
- Figure 5 shows the heavy variable region amino acid sequences of OKT3, KOL and various corresponding CDR grafts;
- Figure 6 shows the light variable region amino acid sequences of OKT3, REI and various corresponding CDR grafts;

- 24 -

Figure 7 shows a graph of binding assay results for various grafted OKT3 antibodies;

Figure 8 shows a graph of blocking assay results for various grafted OKT3 antibodies;

Figure 9 shows a similar graph of blocking assay results;

Figure 10 shows similar graphs for both binding assay and blocking assay results;

Figure 11 shows further similar graphs for both binding assay and blocking assay results;

Figure 12 shows a graph of competition assay results for a minimally grafted OKT3 antibody compared with the OKT3 murine reference standard, and

Figure 13 shows a similar graph of competition assay results comparing a fully grafted OKT3 antibody with the murine reference standard.

DETAILED DESCRIPTION OF EMBODIMENTS OF THE INVENTIONEXAMPLE 1CDR-GRAFTING OF OKT3MATERIAL AND METHODS1. INCOMING CELLS

Hybridoma cells producing antibody OKT3 were provided by Ortho (seedlot 4882.1) and were grown up in antibiotic free Dulbecco's Modified Eagles Medium (DMEM) supplemented with glutamine and 5% foetal calf serum, and divided to provide both an overgrown supernatant for evaluation and cells for extraction of RNA. The overgrown supernatant was shown to contain 250 ug/mL murine IgG2a/kappa antibody. The supernatant was negative for murine lambda light chain and IgG1, IgG2b, IgG3, IgA and IgM heavy chain. 20mL of supernatant was assayed to confirm that the antibody present was OKT3.

2. MOLECULAR BIOLOGY PROCEDURES

Basic molecular biology procedures were as described in Maniatis et al (ref. 9) with, in some cases, minor modifications. DNA sequencing was performed as described in Sanger et al (ref. 11) and the Amersham International Plc sequencing handbook. Site directed mutagenesis was as described in Kramer et al (ref. 12) and the Anglian Biotechnology Ltd. handbook. COS cell expression and metabolic labelling studies were as described in Whittle et al (ref. 13)

- 26 -

3. RESEARCH ASSAYS

3.1. ASSEMBLY ASSAYS

Assembly assays were performed on supernatants from transfected COS cells to determine the amount of intact IgG present.

3.1.1. COS CELLS TRANSFECTED WITH MOUSE OKT3 GENES

The assembly assay for intact mouse IgG in COS cell supernatants was an ELISA with the following format:

96 well microtitre plates were coated with F(ab')2 goat anti-mouse IgG Fc. The plates were washed in water and samples added for 1 hour at room temperature. The plates were washed and F(ab')2 goat anti-mouse IgG F(ab')2 (HRPO conjugated) was then added. Substrate was added to reveal the reaction. UPC10, a mouse IgG2a myeloma, was used as a standard.

3.1.2. COS AND CHO CELLS TRANSFECTED WITH CHIMERIC OR CDR-GRAFTED OKT3 GENES

The assembly assay for chimeric or CDR-grafted antibody in COS cell supernatants was an ELISA with the following format:

96 well microtitre plates were coated with F(ab')2 goat anti-human IgG Fc. The plates were washed and samples added and incubated for 1 hour at room temperature. The plates were washed and monoclonal mouse anti-human kappa chain was added for 1 hour at room temperature.

The plates were washed and F(ab')2 goat anti-mouse IgG Fc (HRPO conjugated) was added. Enzyme substrate was added to reveal the reaction.

Chimeric B72.3 (IgG4) (ref. 13) was used as a standard. The use of a monoclonal anti-kappa chain in this assay allows grafted antibodies to be read from the chimeric standard.

- 27 -

### 3.2. ASSAY FOR ANTIGEN BINDING ACTIVITY

Material from COS cell supernatants was assayed for OKT3 antigen binding activity onto CD3 positive cells in a direct assay. The procedure was as follows:

HUT 78 cells (human T cell line, CD3 positive) were maintained in culture. Monolayers of HUT 78 cells were prepared onto 96 well ELISA plates using poly-L-lysine and glutaraldehyde. Samples were added to the monolayers for 1 hour at room temperature.

The plates were washed gently using PBS.  $F(ab')_2$  goat anti-human IgG Fc (HRPO conjugated) or  $F(ab')_2$  goat anti-mouse IgG Fc (HRPO conjugated) was added as appropriate for humanised or mouse samples. Substrate was added to reveal the reaction.

The negative control for the cell-based assay was chimeric B72.3. The positive control was mouse Orthomune OKT3 or chimeric OKT3, when available. This cell-based assay was difficult to perform, and an alternative assay was developed for CDR-grafted OKT3 which was more sensitive and easier to carry out.

In this system CDR-grafted OKT3 produced by COS cells was tested for its ability to bind to the CD3-positive HPB-ALL (human peripheral blood acute lymphocytic leukemia) cell line. It was also tested for its ability to block the binding of murine OKT3 to these cells. Binding was measured by the following procedure: HPB-ALL cells were harvested from tissue culture. Cells were incubated at 4°C for 1 hour with various dilutions of test antibody, positive control antibody, or negative control antibody. The cells were washed once and incubated at 4°C for 1 hour with an FITC-labelled goat anti-human IgG (Fc-

- 28 -

specific, mouse absorbed). The cells were washed twice and analysed by cytofluorography. Chimeric OKT3 was used as a positive control for direct binding. Cells incubated with mock- transfected COS cell supernatant, followed by the FITC-labelled goat anti-human IgG, provided the negative control. To test the ability of CDR-grafted OKT3 to block murine OKT3 binding, the HPB-ALL cells were incubated at 4°C for 1 hour with various dilutions of test antibody or control antibody. A fixed saturating amount of FITC OKT3 was added. The samples were incubated for 1 hour at 4°C, washed twice and analysed by cytofluorography. FITC-labelled OKT3 was used as a positive control to determine maximum binding. Unlabelled murine OKT3 served as a reference standard for blocking. Negative controls were unstained cells with or without mock-transfected cell supernatant. The ability of the CDR-grafted OKT3 light chain to bind CD3-positive cells and block the binding of murine OKT3 was initially tested in combination with the chimeric OKT3 heavy chain. The chimeric OKT3 heavy chain is composed of the murine OKT3 variable region and the human IgG4 constant region. The chimeric heavy chain gene is expressed in the same expression vector used for the CDR-grafted genes. The CDR-grafted light chain expression vector and the chimeric heavy chain expression vector were co-transfected into COS cells. The fully chimeric OKT3 antibody (chimeric light chain and chimeric heavy chain) was found to be fully capable of binding to CD3 positive cells and blocking the binding of murine OKT3 to these cells.

### 3.3 DETERMINATION OF RELATIVE BINDING AFFINITY

The relative binding affinities of CDR-grafted

- 29 -

anti-CD3 monoclonal antibodies were determined by competition binding (ref. 6) using the HPB-ALL human T cell line as a source of CD3 antigen, and fluorescein-conjugated murine OKT3 (Fl-OKT3) of known binding affinity as a tracer antibody. The binding affinity of Fl-OKT3 tracer antibody was determined by a direct binding assay in which increasing amounts of Fl-OKT3 were incubated with HPB-ALL ( $5 \times 10^5$ ) in PBS with 5% foetal calf serum for 60 min. at 4°C. Cells were washed, and the fluorescence intensity was determined on a FACScan flow cytometer calibrated with quantitative microbead standards (Flow Cytometry Standards, Research Triangle Park, NC). Fluorescence intensity per antibody molecule (F/P ratio) was determined by using microbeads which have a predetermined number of mouse IgG antibody binding sites (Simply Cellular beads, Flow Cytometry Standards). F/P equals the fluorescence intensity of beads saturated with Fl-OKT3 divided by the number of binding sites per bead. The amount of bound and free Fl-OKT3 was calculated from the mean fluorescence intensity per cell, and the ratio of bound/free was plotted against the number of moles of antibody bound. A linear fit was used to determine the affinity of binding (absolute value of the slope).

For competitive binding, increasing amounts of competitor antibody were added to a sub-saturating dose of Fl-OKT3 and incubated with  $5 \times 10^5$  HPB-ALL in 200  $\mu$ l of PBS with 5% foetal calf serum, for 60 min at 4°C. The fluorescence intensities of the cells were measured on a FACScan flow cytometer calibrated with quantitative microbead standards. The concentrations of bound and free Fl-OKT3 were calculated. The affinities of competing anti-

- 30 -

bodies were calculated from the equation  
 $[X] - [OKT3] = (1/Kx) - (1/Ka)$ , where Ka is the affinity of murine OKT3, Kx is the affinity of competitor X, [ ] is the concentration of competitor antibody at which bound/free binding is R/2, and R is the maximal bound/free binding.

4. CDNA LIBRARY CONSTRUCTION
  - 4.1. mRNA PREPARATION AND cDNA SYNTHESIS

OKT3 producing cells were grown as described above and  $1.2 \times 10^9$  cells harvested and mRNA extracted using the guanidinium/LiCl extraction procedure. cDNA was prepared by priming from Oligo-dT to generate full length cDNA. The cDNA was methylated and EcoR1 linkers added for cloning.
  - 4.2. LIBRARY CONSTRUCTION

The cDNA library was ligated to pSP65 vector DNA which had been EcoR1 cut and the 5' phosphate groups removed by calf intestinal phosphatase (EcoR1/CIP). The ligation was used to transform high transformation efficiency Escherichia coli (E.coli) HB101. A cDNA library was prepared. 3600 colonies were screened for the light chain and 10000 colonies were screened for the heavy chain.
5. SCREENING

E.coli colonies positive for either heavy or light chain probes were identified by oligonucleotide screening using the oligonucleotides: 5' TCCAGATGTTAACTGCTCAC for the light chain, which is complementary to a sequence in the mouse kappa constant region, and 5' CAGGGGCCAGTGGATGGATAGAC for the heavy chain which is complementary to a sequence in the mouse IgG2a constant CH1 domain region. 12 light chain and 9 heavy chain clones

- 31 -

were identified and taken for second round screening. Positive clones from the second round of screening were grown up and DNA prepared. The sizes of the gene inserts were estimated by gel electrophoresis and inserts of a size capable of containing a full length cDNA were subcloned into M13 for DNA sequencing.

6.

DNA SEQUENCING

Clones representing four size classes for both heavy and light chains were obtained in M13. DNA sequence for the 5' untranslated regions, signal sequences, variable regions and 3' untranslated regions of full length cDNAs [Figures 1(a) and 2(a)] were obtained and the corresponding amino acid sequences predicted [(Figures 1(b) and 2(b)]. In Figure 1(a) the untranslated DNA regions are shown in uppercase, and in both Figures 1 and 2 the signal sequences are underlined.

7.

CONSTRUCTION OF cDNA EXPRESSION VECTORS

Celltech expression vectors are based on the plasmid pEE6hCMV (ref. 14). A polylinker for the insertion of genes to be expressed has been introduced after the major immediate early promoter/enhancer of the human Cytomegalovirus (hCMV). Marker genes for selection of the plasmid in transfected eukaryotic cells can be inserted as BamH1 cassettes in the unique BamH1 site of pEE6 hCMV; for instance, the neo marker to provide pEE6 hCMV neo. It is usual practice to insert the neo and gpt markers prior to insertion of the gene of interest, whereas the GS marker is inserted last because of the presence of internal EcoR1 sites in the cassette.

- 32 -

The selectable markers are expressed from the SV40 late promoter which also provides an origin of replication so that the vectors can be used for expression in the COS cell transient expression system.

The mouse sequences were excised from the M13 based vectors described above as EcoR1 fragments and cloned into either pEE6-hCMV-neo for the heavy chain and into EE6-hCMV-gpt for the light chain to yield vectors pJA136 and pJA135 respectively.

8. EXPRESSION OF cDNAs IN COS CELLS

Plasmids pJA135 and pJA136 were co-transfected into COS cells and supernatant from the transient expression experiment was shown to contain assembled antibody which bound to T-cell enriched lymphocytes. Metabolic labelling experiments using  $^{35}\text{S}$  methionine showed expression and assembly of heavy and light chains.

9. CONSTRUCTION OF CHIMERIC GENES

Construction of chimeric genes followed a previously described strategy [Whittle *et al* (ref. 13)]. A restriction site near the 3' end of the variable domain sequence is identified and used to attach an oligonucleotide adapter coding for the remainder of the mouse variable region and a suitable restriction site for attachment to the constant region of choice.

9.1. LIGHT CHAIN GENE CONSTRUCTION

The mouse light chain cDNA sequence contains an Aval site near the 3' end of the variable region [Fig. 1(a)]. The majority of the sequence of the variable region was isolated as a 396 bp. EcoR1-Aval fragment. An oligonucleotide adapter was designed to replace the remainder of the 3'

- 33 -

region of the variable region from the Aval site and to include the 5' residues of the human constant region up to and including a unique NarI site which had been previously engineered into the constant region.

A HindIII site was introduced to act as a marker for insertion of the linker.

The linker was ligated to the  $V_L$  fragment and the 413 bp EcoRI-NarI adapted fragment was purified from the ligation mixture.

The constant region was isolated as an NarI-BamHI fragment from an M13 clone NW361 and was ligated with the variable region DNA into an EcoRI/BamHI/C1P pSP65 treated vector in a three way reaction to yield plasmid JA143. Clones were isolated after transformation into E.coli and the linker and junction sequences were confirmed by the presence of the HindIII site and by DNA sequencing.

#### 9.2

#### LIGHT CHAIN GENE CONSTRUCTION - VERSION 2

The construction of the first chimeric light chain gene produces a fusion of mouse and human amino acid sequences at the variable-constant region junction. In the case of the OKT3 light chain the amino acids at the chimera junction are:

.....Leu-Glu-Ile-Asn-Arg/ -/Thr-Val-Ala -Ala  
VARIABLE CONSTANT

This arrangement of sequence introduces a potential site for Asparagine (Asn) linked (N-linked) glycosylation at the V-C junction. Therefore, a second version of the chimeric light chain oligonucleotide adapter was designed in which the threonine (Thr), the first amino acid of the human constant region, was replaced with the equivalent amino acid from the mouse constant region, Alanine (Ala).

- 34 -

An internal HindIII site was not included in this adapter, to differentiate the two chimeric light chain genes.

The variable region fragment was isolated as a 376 bp EcoRI-Aval fragment. The oligonucleotide linker was ligated to Nael cut pNW361 and then the adapted 396bp constant region was isolated after recutting the modified pNW361 with EcoRI. The variable region fragment and the modified constant region fragment were ligated directly into EcoRI/CIP treated pEE6hCMVneo to yield pJA137.

Initially all clones examined had the insert in the incorrect orientation. Therefore, the insert was re-isolated and recloned to turn the insert round and yield plasmid pJA141. Several clones with the insert in the correct orientation were obtained and the adapter sequencing confirmed by DNA sequencing

#### CHOICE OF HEAVY CHAIN GENE ISOTYPE

The constant region isotype chosen for the heavy chain was human IgG4.

GENE CONSTRUCTION

The heavy chain cDNA sequence showed a BanI site near the 3' end of the variable region [Fig. 2(a)].

The majority of the sequence of the variable region was isolated as a 426bp. EcoRI/CIP/BanI fragment. An oligonucleotide adapter was designated to replace the remainder of the 3' region of the variable region from the BanI site up to and including a unique HindIII site which had been previously engineered into the first two amino acids of the constant region.

The linker was ligated to the  $V_H$  fragment and the EcoRI-HindIII adapted fragment was purified from the ligation mixture.

9.3.

9.3.1.

9.3.2.

- 35 -

The variable region was ligated to the constant region by cutting pJA91 with EcoR1 and HindIII removing the intron fragment and replacing it with the V<sub>H</sub> to yield pJA142. Clones were isolated after transformation into E.coli JM101 and the linker and junction sequences were confirmed by DNA sequencing. (N.B. The HindIII site is lost on cloning).

10. CONSTRUCTION OF CHIMERIC EXPRESSION VECTORS

10.1. neo AND gpt VECTORS

The chimeric light chain (version 1) was removed from pJA143 as an EcoR1 fragment and cloned into EcoR1/C1P treated pEE6hCMVneo expression vector to yield pJA145. Clones with the insert in the correct orientation were identified by restriction mapping.

The chimeric light chain (version 2) was constructed as described above.

The chimeric heavy chain gene was isolated from pJA142 as a 2.5Kbp EcoR1/BamH1 fragment and cloned into the EcoR1/Bcl1/C1P treated vector fragment of a derivative of pEE6hCMVgpt to yield plasmid pJA144.

10.2. GS SEPARATE VECTORS

GS versions of pJA141 and pJA144 were constructed by replacing the neo and gpt cassettes by a BamH1/Sal1/C1P treatment of the plasmids, isolation of the vector fragment and ligation to a GS-containing fragment from the plasmid pR049 to yield the light chain vector pJA179 and the heavy chain vector pJA180.

10.3. GS SINGLE VECTOR CONSTRUCTION

Single vector constructions containing the cL (chimeric light), cH (chimeric heavy) and GS genes on one plasmid in the order cL-cH-GS, or cH-cL-GS

- 36 -

and with transcription of the genes being head to tail e.g. cL>cH>GS were constructed. These plasmids were made by treating pJA179 or pJA180 with BamH1/C1P and ligating in a BglII/HindIII hCMV promoter cassette along with either the HindIII/BamH1 fragment from pJA141 into pJA180 to give the cH-cL-GS plasmid pJA182 or the HindIII/BamH1 fragment from pJA144 into pJA179 to give the cL-cH-GS plasmid pJA181.

11. EXPRESSION OF CHIMERIC GENES

11.1. EXPRESSION IN COS CELLS

The chimeric antibody plasmid pJA145 (cL) and pJA144 (cH) were co-transfected into COS cells and supernatant from the transient expression experiment was shown to contain assembled antibody which bound to the HUT 78 human T-cell line. Metabolic labelling experiments using  $^{35}\text{S}$  methionine showed expression and assembly of heavy and light chains. However the light chain mobility seen on reduced gels suggested that the potential glycosylation site was being glycosylated. Expression in COS cells in the presence of tunicamycin showed a reduction in size of the light chain to that shown for control chimeric antibodies and the OKT3 mouse light chain. Therefore JA141 was constructed and expressed. In this case the light chain did not show an aberrant mobility or a size shift in the presence or absence of tunicamycin. This second version of the chimeric light chain, when expressed in association with chimeric heavy (cH) chain, produced antibody which showed good binding to HUT 78 cells. In both cases antigen binding was equivalent to that of the mouse antibody.

- 37 -

11.2 EXPRESSION IN CHINESE HAMSTER OVARY (CHO) CELLS  
Stable cell lines have been prepared from plasmids  
pJA141/pJA144 and from pJA179/pJA180, pJA181 and  
pJA182 by transfection into CHO cells.

12. CDR-GRAFTING

The approach taken was to try to introduce sufficient mouse residues into a human variable region framework to generate antigen binding activity comparable to the mouse and chimeric antibodies.

12.1. VARIABLE REGION ANALYSIS

From an examination of a small database of structures of antibodies and antigen-antibody complexes it is clear that only a small number of antibody residues make direct contact with antigen. Other residues may contribute to antigen binding by positioning the contact residues in favourable configurations and also by inducing a stable packing of the individual variable domains and stable interaction of the light and heavy chain variable domains.

The residues chosen for transfer can be identified in a number of ways:

- (a) By examination of antibody X-ray crystal structures the antigen binding surface can be predominantly located on a series of loops, three per domain, which extend from the B-barrel framework.
- (b) By analysis of antibody variable domain sequences regions of hypervariability [termed the Complementarity Determining Regions (CDRs) by Wu and Kabat (ref. 5)] can be identified. In the most but not all cases these CDRs correspond to, but extend a short way beyond, the loop regions noted above.

(c) Residues not identified by (a) and (b) may contribute to antigen binding directly or indirectly by affecting antigen binding site topology, or by inducing a stable packing of the individual variable domains and stabilising the inter-variable domain interaction. These residues may be identified either by superimposing the sequences for a given antibody on a known structure and looking at key residues for their contribution, or by sequence alignment analysis and noting "idiosyncratic" residues followed by examination of their structural location and likely effects.

#### 12.1.1. LIGHT CHAIN

Figure 3 shows an alignment of sequences for the human framework region RE1 and the OKT3 light variable region. The structural loops (LOOP) and CDRs (KABAT) believed to correspond to the antigen binding region are marked. Also marked are a number of other residues which may also contribute to antigen binding as described in 13.1(c). Above the sequence in Figure 3 the residue type indicates the spatial location of each residue side chain, derived by examination of resolved structures from X-ray crystallography analysis. The key to this residue type designation is as follows:

N - near to CDR (From X-ray Structures)	
P - Packing	B - Buried Non-Packing
S - Surface	E - Exposed
I - Interface	* - Interface
- Packing/Part Exposed	
? - Non-CDR Residues which may require to be left as Mouse sequence.	

Residues underlined in Figure 3 are amino acids. RE1 was chosen as the human framework because the light chain is a kappa chain and the kappa variable regions show higher homology with the mouse sequences than a lambda light variable region, e.g. KOL (see below). RE1 was chosen in preference to another kappa light chain because the X-ray structure of the light chain has been determined so that a structural examination of individual residues could be made.

#### 12.1.2. HEAVY CHAIN

Similarly Figure 4 shows an alignment of sequences for the human framework region KOL and the OKT3 heavy variable region. The structural loops and CDRs believed to correspond to the antigen binding region are marked. Also marked are a number of other residues which may also contribute to antigen binding as described in 12.1(c). The residue type key and other indicators used in Figure 4 are the same as those used in Figure 3. KOL was chosen as the heavy chain framework because the X-ray structure has been determined to a better resolution than, for example, NEWM and also the sequence alignment of OKT3 heavy variable region showed a slightly better homology to KOL than to NEWM.

#### 12.2. DESIGN OF VARIABLE GENES

The variable region domains were designed with mouse variable region optimal codon usage [Grantham and Perrin (ref. 15)] and used the B72.3 signal sequences [Whittle *et al* (ref. 13)]. The sequences were designed to be attached to the constant region in the same way as for the chimeric genes described above. Some constructs contained the "Kozak consensus sequence" [Kozak (r f. 16)] directly linked to the 5' of the signal

- 40 -

sequence in the gene. This sequence motif is believed to have a beneficial role in translation initiation in eukaryotes.

12.3. **GENE CONSTRUCTION**

To build the variable regions, various strategies are available. The sequence may be assembled by using oligonucleotides in a manner similar to Jones *et al* (ref. 17) or by simultaneously replacing all of the CDRs or loop regions by oligonucleotide directed site specific mutagenesis in a manner similar to Verhoeven *et al* (ref. 2). Both strategies were used and a list of constructions is set out in Tables 1 and 2 and Figures 4 and 5. It was noted in several cases that the mutagenesis approach led to deletions and rearrangements in the gene being remodelled, while the success of the assembly approach was very sensitive to the quality of the oligonucleotides.

13. **CONSTRUCTION OF EXPRESSION VECTORS**

Genes were isolated from M13 or SP65 based intermediate vectors and cloned into pEE6hCMVneo for the light chains and pEE6hCMVgpt for the heavy chains in a manner similar to that for the chimeric genes as described above.

**TABLE 1 CDR-GRAFTED GENE CONSTRUCTS**

CODE	MOUSE SEQUENCE CONTENT	METHOD OF CONSTRUCTION	KOZAK SEQUENCE
<hr/>			
LIGHT CHAIN	ALL HUMAN FRAMEWORK REL		
121	26-32, 50-56, 91-96 inclusive	SDM and gene assembly	+
			n.d.
121A	26-32, 50-56, 91-96 inclusive +1, 3, 46, 47	Partial gene assembly	n.d. +
121B	26-32, 50-56, 91-96 inclusive + 46, 47	Partial gene assembly	n.d. +
221	24-24, 50-56, 91-96 inclusive	Partial gene assembly	+
			+
221A	24-34, 50-56, 91-96 inclusive +1, 3, 46, 47	Partial gene assembly	+
			+
221B	24-34, 50-56, 91-96 inclusive +1, 3	Partial gene assembly	+
			+
221C	24-34, 50-56, 91-96 inclusive	Partial gene assembly	+
			+
HEAVY CHAIN	ALL HUMAN FRAMEWORK KOL		
121	26-32, 50-56, 95-100B inclusive	Gene assembly	n.d. +
131	26-32, 50-58, 95-100B inclusive	Gene assembly	n.d. +
141	26-32, 50-65, 95-100B inclusive	Partial gene assembly	+
			n.d.
321	26-35, 50-56, 95-100B inclusive	Partial gene assembly	+
			n.d.
331	26-35, 50-58, 95-100B inclusive	Partial gene assembly	+
		Gene assembly	+
341	26-35, 50-65, 95-100B inclusive	SDM	+
		Partial gene assembly	+
341A	26-35, 50-65, 95-100B inclusive +6, 23, 24, 48, 49, 71, 73, 76, 78, 88, 91 (+63 - human)	Gene assembly	n.d. +
341B	26-35, 50-65, 95-100B inclusive + 48, 49, 71, 73, 76, 78, 88, 91 (+63 + human)	Gene assembly	n.d. +

**KEY**

n.d. not done

SDM Site directed mutagenesis

Gene assembly Variable region assembled entirely from oligonucleotides

Partial gene Variable region assembled by combination of restriction  
assembly fragments either from other genes originally created by SDMand gene assembly or by oligonucleotide assembly of part of  
the variable region and reconstruction with restriction  
fragments from other genes originally created by SDM and gene  
assembly

- 42 -

14. EXPRESSION OF CDR-GRAFTED GENES

14.1. PRODUCTION OF ANTIBODY CONSISTING OF GRAFTED LIGHT (gL) CHAINS WITH MOUSE HEAVY (mH) OR CHIMERIC HEAVY (cH) CHAINS

All gL chains, in association with mH or cH produced reasonable amounts of antibody.

Insertion of the Kozak consensus sequence at a position 5' to the ATG (kgL constructs) however, led to a 2-5 fold improvement in net expression. Over an extended series of experiments expression levels were raised from approximately 200ng/ml to approximately 500 ng/ml for kgL/cH or kgL/mH combinations.

When direct binding to antigen on HUT 78 cells was measured, a construct designed to include mouse sequence based on loop length (gL121) did not lead to active antibody in association with mH or cH. A construct designed to include mouse sequence based on Kabat CDRs (gL221) demonstrated some weak binding in association with mH or cH. However, when framework residues 1, 3, 46, 47 were changed from the human to the murine OKT3 equivalents based on the arguments outlined in Section 12.1 antigen binding was demonstrated when both of the new constructs, which were termed 121A and 221A were co-expressed with cH. When the effects of these residues were examined in more detail, it appears that residues 1 and 3 are not major contributing residues as the product of the gL221B gene shows little detectable binding activity in association with cH. The light chain product of gL221C, in which mouse sequences are present at 46 and 47, shows good binding activity in association with cH.

- 43 -

14.2 PRODUCTION OF ANTIBODY CONSISTING OF GRAFTED HEAVY (gH) CHAINS WITH MOUSE LIGHT (mL) OR CHIMERIC LIGHT (cL) CHAINS

Expression of the gH genes proved to be more difficult to achieve than for gL. First, inclusion of the Kozak sequence appeared to have no marked effect on expression of gH genes. Expression appears to be slightly improved but not to the same degree as seen for the grafted light chain.

Also, it proved difficult to demonstrate production of expected quantities of material when the loop choice (amino acid 26-32) for CDR1 is used, e.g. gH121, 131, 141 and no conclusions can be drawn about these constructs.

Moreover, co-expression of the gH341 gene with cL or mL has been variable and has tended to produce lower amounts of antibody than the cH/cL or mH/mL combinations. The alterations to gH341 to produce gH341A and gH341B lead to improved levels of expression.

This may be due either to a general increase in the fraction of mouse sequence in the variable region, or to the alteration at position 63 where the residue is returned to the human amino acid Valine (Val) from Phenylalanine (Phe) to avoid possible internal packing problems with the rest of the human framework. This arrangement also occurs in gH331 and gH321.

When gH321 or gH331 were expressed in association with cL, antibody was produced but antibody binding activity was not detected.

When the more conservative gH341 gene was used antigen binding could be detected in association with cL or mL, but the activity was only marginally above the background level.

- 44 -

When further mouse residues were substituted based on the arguments in 12.1, antigen binding could be clearly demonstrated for the antibody produced when kgH341A and kgH341B were expressed in association with cL.

14.3

PRODUCTION OF FULLY CDR-GRAFTED ANTIBODY

The kgL221A gene was co-expressed with kgH341, kgH341A or kgH341B. For the combination kgH221A/kgH341 very little material was produced in a normal COS cell expression.

For the combinations kgL221A/kgH341A or kgH221A/kgH341B amounts of antibody similar to gL/cH was produced.

In several experiments no antigen binding activity could be detected with kgH221A/gH341 or kgH221A/kgH341 combinations, although expression levels were very low.

Antigen binding was detected when kgL221A/kgH341A or kgH221A/kgH341B combinations were expressed.

In the case of the antibody produced from the kgL221A/kgH341A combination the antigen binding was very similar to that of the chimeric antibody.

An analysis of the above results is given below.

15.

DISCUSSION OF CDR-GRAFTING RESULTS

In the design of the fully humanised antibody the aim was to transfer the minimum number of mouse amino acids that would confer antigen binding onto a human antibody framework.

15.1. LIGHT CHAIN

15.1.1. EXTENT OF THE CDRs

For the light chain the regions defining the loops known from structural studies of other antibodies to contain the antigen contacting residues, and

- 45 -

those hypervariable sequences defined by Kabat et al (refs. 4 and 5) as Complementarity Determining Regions (CDRs) are equivalent for CDR2. For CDR1 the hypervariable region extends from residues 24-34 inclusive while the structural loop extends from 26-32 inclusive. In the case of OKT3 there is only one amino acid difference between the two options, at amino acid 24, where the mouse sequence is a serine and the human framework RE1 has glutamine. For CDR3 the loop extends from residues 91-96 inclusive while the Kabat hypervariability extends from residues 89-97 inclusive. For OKT3 amino acids 89, 90 and 97 are the same between OKT3 and RE1 (Fig. 3). When constructs based on the loop choice for CDR1 (gL121) and the Kabat choice (gL221) were made and co-expressed with mH or cH no evidence for antigen binding activity could be found for gL121, but trace activity could be detected for the gL221, suggesting that a single extra mouse residue in the grafted variable region could have some detectable effect. Both gene constructs were reasonably well expressed in the transient expression system.

#### 15.1.2. FRAMEWORK RESIDUES

The remaining framework residues were then further examined, in particular amino acids known from X-ray analysis of other antibodies to be close to the CDRs and also those amino acids which in OKT3 showed differences from the consensus framework for the mouse subgroup (subgroup VI) to which OKT3 shows most homology. Four positions 1, 3, 46 and 47 were identified and their possible contribution was examined by substituting the mouse amino acid for the human amino acid at each position.

Therefore gL221A (gL221 + D1Q, Q3V, L46R, L47W,

- 46 -

see Figure 3 and Table 1) was made, cloned in EE6hCMVneo and co-expressed with cH (pJA144). The resultant antibody was well expressed and showed good binding activity. When the related genes gL221B (gL221 + D1Q, Q3V) and gL221C (gL221 + L46R, L47W) were made and similarly tested, while both genes produced antibody when co-expressed with cH, only the gL221C/cH combination showed good antigen binding. When the gL121A (gL121 + D1Q, Q3V, L46R, L47W) gene was made and co-expressed with cH, antibody was produced which also bound to antigen.

### 15.2. HEAVY CHAIN

#### 15.2.1. EXTENT OF THE CDRs

For the heavy chain the loop and hypervariability analyses agree only in CDR3. For CDR1 the loop region extends from residues 26-32 inclusive whereas the Kabat CDR extends from residues 31-35 inclusive. For CDR2 the loop region is from 50-58 inclusive while the hypervariable region covers amino acids 50-65 inclusive. Therefore humanised heavy chains were constructed using the framework from antibody KOL and with various combinations of these CDR choices, including a shorter choice for CDR2 of 50-56 inclusive as there was some uncertainty as to the definition of the end point for the CDR2 loop around residues 56 to 58. The genes were co-expressed with mL or cL initially. In the case of the gH genes with loop choices for CDR1 e.g. gH121, gH131, gH141 very little antibody was produced in the culture supernatants. As no free light chain was detected it was presumed that the antibody was being made and assembled inside the cell but that the heavy chain was aberrant in some way, possibly incorrectly folded, and therefore the antibody was

- 47 -

being degraded internally. In some experiments trace amounts of antibody could be detected in  $^{35}\text{S}$  labelling studies.

As no net antibody was produced, analysis of these constructs was not pursued further.

When, however, a combination of the loop choice and the Kabat choice for CDR1 was tested (mouse amino acids 26-35 inclusive) and in which residues 31 (Ser to Arg), 33 (Ala to Thr), and 35 (Tyr to His) were changed from the human residues to the mouse residue and compared to the first series, antibody was produced for gH321, kgH331 and kgH341 when co-expressed with cL. Expression was generally low and could not be markedly improved by the insertion of the Kozak consensus sequence 5' to the ATG of the signal sequence of the gene, as distinct from the case of the gL genes where such insertion led to a 2-5 fold increase in net antibody production. However, only in the case of gH341/mL or kgH341/cL could marginal antigen binding activity be demonstrated. When the kgH341 gene was co-expressed with kgL221A, the net yield of antibody was too low to give a signal above the background level in the antigen binding assay.

#### 15.2.2. FRAMEWORK RESIDUES

As in the case of the light chain the heavy chain frameworks were re-examined. Possibly because of the lower initial homology between the mouse and human heavy variable domains compared to the light chains, more amino acid positions proved to be of interest. Two genes kgH341A and kgH341B were constructed, with 11 or 8 human residues respectively substituted by mouse residues compared to gH341, and with the CDR2 residue 63 returned to the human amino acid potentially to

- 48 -

15.3 improve domain packing. Both showed antigen binding when combined with c1 or kgL221A, the kgH341A gene with all 11 changes appearing to be the superior choice.

INTERIM CONCLUSIONS

It has been demonstrated, therefore, for OKT3 that to transfer antigen binding ability to the humanised antibody, mouse residues outside the CDR regions defined by the Kabat hypervariability or structural loop choices are required for both the light and heavy chains. Fewer extra residues are needed for the light chain, possibly due to the higher initial homology between the mouse and human kappa variable regions.

Of the changes seven (1 and 3 from the light chain and 6, 23, 71, 73 and 76 from the heavy chain) are predicted from a knowledge of other antibody structures to be either partly exposed or on the antibody surface. It has been shown here that residues 1 and 3 in the light chain are not absolutely required to be the mouse sequence; and for the heavy chain the gH341B heavy chain in combination with the 221A light chain generated only weak binding activity. Therefore the presence of the 6, 23 and 24 changes are important to maintain a binding affinity similar to that of the murine antibody. It was important, therefore, to further study the individual contribution of the other 8 mouse residues of the kgH341A gene compared to kgH341.

16. FURTHER CDR-GRAFTING EXPERIMENTS

Additional CDR-grafted heavy chain genes were prepared substantially as described above. With reference to Table 2 the further heavy chain genes were based upon the gh341 (plasmid pJA178) and

- 49 -

gH341A (plasmid pJA185) with either mouse OKT3 or human KOL residues at 6, 23, 24, 48, 49, 63, 71, 73, 76, 78, 88 and 91, as indicated. The CDR-grafted light chain genes used in these further experiments were gL221, gL221A, gL221B and gL221C as described above.

- 50 -

TABLE 2OKT3 HEAVY CHAIN CDR GRAFTS1. gH341 and derivatives

RES NUM	6	23	24	48	49	63	71	73	76	78	88	91
OKT3vh	<u>Q</u>	K	A	I	G	F	T	K	S	A	A	Y
gH341	E	S	S	V	A	F	R	N	N	L	G	F JA178
gH341A	<u>Q</u>	K	A	I	G	V	<u>T</u>	K	S	A	A	Y JA185
gH341E	<u>Q</u>	K	A	I	G	V	<u>T</u>	K	S	A	G	G JA198
gH341*	<u>Q</u>	K	A	I	G	V	<u>T</u>	K	N	<u>A</u>	G	F JA207
gH341*	<u>Q</u>	K	A	I	G	V	R	N	N	<u>A</u>	G	F JA209
gH341D	<u>Q</u>	K	A	I	G	V	<u>T</u>	K	N	L	G	F JA197
gH341*	<u>Q</u>	K	A	I	G	V	R	N	N	L	G	F JA199
gH341C	<u>Q</u>	K	A	V	A	<u>F</u>	R	N	N	L	G	F JA184
gH341*	<u>Q</u>	S	<u>A</u>	I	G	V	<u>T</u>	K	S	A	A	Y JA203
gH341*	E	S	<u>A</u>	I	G	V	<u>T</u>	K	S	A	A	Y JA205
gH341B	E	S	S	<u>I</u>	G	V	<u>T</u>	K	S	A	A	Y JA183
gH341*	<u>Q</u>	S	<u>A</u>	I	G	V	<u>T</u>	K	S	A	G	F JA204
gH341*	E	S	<u>A</u>	I	G	V	<u>T</u>	K	S	A	G	F JA206
gH341*	<u>Q</u>	S	<u>A</u>	I	G	V	<u>T</u>	K	N	<u>A</u>	G	F JA208
KOL	E	S	S	V	A		R	N	N	L	G	F

OKT3 LIGHT CHAIN CDR GRAFTS2. gL221 and derivatives

RES NUM	1	3	46	47
OKT3v1	<u>Q</u>	V	R	W
gL221	D	Q	L	L DA221
gL221A	<u>Q</u>	V	R	W DA221A
gL221B	<u>Q</u>	V	L	L DA221B
gL221C	D	Q	<u>R</u>	W DA221C
RE1	D	Q	L	L

MURINE RESIDUES ARE UNDERLINED

- 51 -

The CDR-grafted heavy and light chain genes were co-expressed in COS cells either with one another in various combinations but also with the corresponding murine and chimeric heavy and light chain genes substantially as described above. The resultant antibody products were then assayed in binding and blocking assays with HPB-ALL cells as described above.

The results of the assays for various grafted heavy chains co-expressed with the gL221C light chain are given in Figures 7 and 8 (for the JA184, JA185, JA197 and JA198 constructs - see Table 2), in Figure 9 (for the JA183, JA184, JA185 and JA197 constructs) in Figure 10 (for the chimeric, JA185, JA199, JA204, JA205, JA207, JA208 and JA209 constructs) and in Figure 11 (for the JA183, JA184, JA185, JA198, JA203, JA205 and JA206 constructs).

The basic grafted product without any human to murine changes in the variable frameworks, i.e. gL221 co-expressed with gh341 (JA178), and also the "fully grafted" product, having most human to murine changes in the grafted heavy chain framework, i.e. gL221C co-expressed with gh341A (JA185), were assayed for relative binding affinity in a competition assay against murine OKT3 reference standard, using HPB-ALL cells. The assay used was as described above in section 3.3. The results obtained are given in Figure 12 for the basic grafted product and in Figure 13 for the fully grafted product. These results indicate that the basic grafted product has negligible binding ability as compared with the OKT3 murine reference standard; whereas the "fully grafted" product has a binding ability very similar to that of the OKT3 murine reference standard.

The binding and blocking assay results indicate the following:

- 52 -

The JA198 and JA207 constructs appear to have the best binding characteristics and similar binding abilities, both substantially the same as the chimeric and fully grafted gH341A products. This indicates that positions 88 and 91 and position 76 are not highly critical for maintaining the OKT3 binding ability; whereas at least some of positions 6, 23, 24, 48, 49, 71, 73 and 78 are more important.

This is borne out by the finding that the JA209 and JA199, although of similar binding ability to one another, are of lower binding ability than the JA198 and JA207 constructs. This indicates the importance of having mouse residues at positions 71, 73 and 78, which are either completely or partially human in the JA199 and JA209 constructs respectively.

Moreover, on comparing the results obtained for the JA205 and JA183 constructs it is seen that there is a decrease in binding going from the JA205 to the JA183 constructs. This indicates the importance of retaining a mouse residue at position 23, the only position changed between JA205 and JA183.

These and other results lead us to the conclusion that of the 11 mouse framework residues used in the gH341A (JA185) construct, it is important to retain mouse residues at all of positions 6, 23, 24, 48 and 49, and possibly for maximum binding affinity at 71, 73 and 78.

Similar Experiments were carried out to CDR-graft a number of the rodent antibodies including antibodies having specificity for CD4 (OKT4), ICAM-1 (R6-5), TAG72 (B72.3), and TNF $\alpha$ (61E71, 101.4, hTNF1, hTNF2 and hTNF3).

- 53 -

EXAMPLE 2

CDR-GRAFTING OF A MURINE ANTI-CD4 T CELL

RECEPTOR ANTIBODY, OKT4A

Anti OKT4A CDR-grafted heavy and light chain genes were prepared, expressed and tested substantially as described above in Example 1 for CDR-grafted OKT3. The CDR grafting of OKT4A is described in detail in Ortho patent application PCT/GB 90..... of even date herewith entitled "Humanised Antibodies". The disclosure of this Ortho patent application PCT/GB 90 ..... is incorporated herein by reference. A number of CDR-grafted OKT4 antibodies have been prepared. Presently the CDR-grafted OKT4A of choice is the combination of the grafted light chain LCDR2 and the grafted heavy chain HCDR10.

THE LIGHT CHAIN

The human acceptor framework used for the grafted light chains was RE1. The preferred LCDR2 light chain has human to mouse changes at positions 33, 34, 38, 49 and 89 in addition to the structural loop CDRs. Of these changed positions, positions 33, 34 and 89 fall within the preferred extended CDRs of the present invention (positions 33 and 34 in CDR1 and position 89 in CDR3). The human to murine changes at positions 38 and 49 corresponds to positions at which the amino acid residues are preferably donor murine amino acid residues in accordance with the present invention.

A comparison of the amino acid sequences of the donor murine light chain variable domain and the RE1 human acceptor light chain variable further reveals that the murine and human residues are identical at all of positions 46, 48 and 71 and at all of positions 2, 4, 6, 35, 36, 44, 47, 62, 64-69, 85, 87, 98, 99 and 101 and 102. However the amino acid residue at position 58 in LCDR2 is

- 54 -

the human RE1 framework residue not the mouse OKT4 residue as would be preferred in accordance with the present invention.

THE HEAVY CHAIN

The human acceptor framework used for the grafted heavy chains was KOL.

The preferred CDR graft HCDR10 heavy chain has human to mouse changes at positions 24, 35, 57, 58, 60, 88 and 91 in addition to the structural loop CDRs.

Of these positions, positions 35 (CDR1) and positions 57, 58 and 60 (CDR2) fall within the preferred extended CDRs of the present invention. Also the human to mouse change at position 24 corresponds to a position at which the amino acid residue is a donor murine residue in accordance with the present invention. Moreover, the human to mouse changes at positions 88 and 91 correspond to positions at which the amino acid residues are optionally donor murine residues.

Moreover, a comparison of the murine OKT4A and human KOL heavy chain variable amino acid sequences reveals that the murine and human residues are identical at all of positions 23, 49, 71, 73 and 78 and at all of positions 2, 4, 6, 25, 36, 37, 39, 47, 48, 93, 94, 103, 104, 106 and 107.

Thus the OKT4A CDR-grafted heavy chain HCDR10 corresponds to a particularly preferred embodiment according to the present invention.

- 55 -

EXAMPLE 3

CDR-GRAFTING OF AN ANTI-MUCIN SPECIFIC MURINE  
ANTIBODY, B72.3

The cloning of the genes coding for the anti-mucin specific murine monoclonal antibody B72.3 and the preparation of B72.3 mouse-human chimeric antibodies has been described previously (ref. 13 and WO 89/01783). CDR-grafted versions of B72.3 were prepared as follows.

(a) B72.3 Light Chain

CDR-grafting of this light chain was accomplished by direct transfer of the murine CDRs into the framework of the human light chain RE1.

The regions transferred were:

<u>CDR Number</u>	<u>Residues</u>
1	24-34
2	50-56
3	90-96

The activity of the resulting grafted light chain was assessed by co-expression in COS cells, of genes for the combinations:

B72.3 cH/B72.3 cL  
and      B72.3 cH/B72.3 gL

Supernatants were assayed for antibody concentration and for the ability to bind to microtitre plates coated with mucin. The results obtained indicated that, in combination with the B72.3 cH chain, B72.3 cL and B72.3 gL had similar binding properties.

Comparison of the murine B72.3 and RE1 light chain amino acid sequences reveals that the residues are identical at positions 46, 58 and 71 but are different at position 48.

- 56 -

Thus changing the human residue to the donor mouse residue at position 48 may further improve the binding characteristics of the CDR-grafted light chain, (B72.3 gL) in accordance with the present invention.

(b) B72.3 heavy chain

i. Choice of framework

At the outset it was necessary to make a choice of human framework. Simply put, the question was as follows: Was it necessary to use the framework regions from an antibody whose crystal structure was known or could the choice be made on some other criteria?

For B72.3 heavy chain, it was reasoned that, while knowledge of structure was important, transfer of the CDRs from mouse to human frameworks might be facilitated if the overall homology between the donor and receptor frameworks was maximised.

Comparison of the B72.3 heavy chain sequence with those in Kabat (ref. 4) for human heavy chains showed clearly that B72.3 had poor homology for KOL and NEWM (for which crystal structures are available) but was very homologous to the heavy chain for EU.

On this basis, EU was chosen for the CDR-grafting and the following residues transferred as CDRs.

<u>CDR Number</u>	<u>Residues</u>
1	27-36
2	50-63
3	93-102

- 57 -

Also it was noticed that the FR4 region of EU was unlike that of any other human (or mouse) antibody. Consequently, in the grafted heavy chain genes this was also changed to produce a "consensus" human sequence. (Preliminary experiments showed that grafted heavy chain genes containing the EU FR4 sequence expressed very poorly in transient expression systems.)

ii. Results with grafted heavy chain genes  
Expression of grafted heavy chain genes containing all human framework regions with either GL or CL genes produced a grafted antibody with little ability to bind to mucin. The grafted antibody had about 1% the activity of the chimeric antibody. In these experiments, however, it was noted that the activity of the grafted antibody could be increased to  $\sim 10\%$  of B72.3 by exposure to pHs of 2-3.5. This observation provided a clue as to how the activity of the grafted antibody could be improved without acid treatment. It was postulated that acid exposure brought about the protonation of an acidic residue (pKa of aspartic acid = 3.86 and of glutamine acid = 4.25) which in turn caused a change in structure of the CDR loops, or allowed better access of the sequences of B72.3 to the antigen. From comparison of the sequences of B72.3 (ref. 13) and EU (refs. 4 and 5), it was clear that, in going from the mouse to human frameworks, only two positions had been changed in such a way that acidic residues had been introduced. These

positions are at residues 73 and 81, where K to E and Q to E changes had been made, respectively.

Which of these positions might be important was determined by examining the crystal structure of the KOL antibody. In KOL heavy chain, position 81 is far removed from either of the CDR loops.

Position 73, however, is close to both CDRs 1 and 3 of the heavy chain and, in this position it was possible to envisage that a K to E change in this region could have a detrimental effect on antigen binding.

iii. Framework changes in B72.3 gH gene

On the basis of the above analysis, E73 was mutated to a lysine (K). It was found that this change had a dramatic effect on the ability of the grafted Ab to bind to mucin. Further the ability of the grafted B72.3 produced by the mutated gH/gL combination to bind to mucin was similar to that of the B72.3 chimeric antibody.

iv. Other framework changes

In the course of the above experiments, other changes were made in the heavy chain framework regions. Within the accuracy of the assays used, none of the changes, either alone or together, appeared beneficial.

v. Other

All assays used measured the ability of the grafted Ab to bind to mucin and, as a whole, indicated that the single framework change at position 73 is sufficient to generate an antibody with similar binding properties to B72.3.

- 59 -

Comparison of the B72.3 murine and EU heavy chain sequences reveals that the mouse and human residues are identical at positions 23, 24, 71 and 78.

Thus the mutated CDR-grafted B72.3 heavy chain corresponds to a preferred embodiment of the present invention.

- 60 -

EXAMPLE 4

CDR-GRAFTING OF A MURINE ANTI-ICAM-1 MONOCLONAL ANTIBODY

A murine antibody, R6-5-D6 (EP 0314863) having specificity for Intercellular Adhesion Molecule 1 (ICAM-1) was CDR-grafted substantially as described above in previous examples. This work is described in greater detail in co-pending application, British Patent Application No. 9009549.8, the disclosure of which is incorporated herein by reference.

The human EU framework was used as the acceptor framework for both heavy and light chains. The CDR-grafted antibody currently of choice is provided by co-expression of grafted light chain gL221A and grafted heavy chain gH341D which has a binding affinity for ICAM 1 of about 75% of that of the corresponding mouse-human chimeric antibody.

LIGHT CHAIN

gL221A has murine CDRs at positions 24-34 (CDR1), 50-56 (CDR2) and 89-97 (CDR3). In addition several framework residues are also the murine amino acid. These residues were chosen after consideration of the possible contribution of these residues to domain packing and stability of the conformation of the antigen binding region. The residues which have been retained as mouse are at positions 2, 3, 48 (?), 60, 84, 85 and 87.

Comparison of the murine anti-ICAM 1 and human EU light chain amino acid sequences reveals that the murine and human residues are identical at positions 46, 58 and 71.

HEAVY CHAIN

gH341D has murine CDRs at positions 26-35 (CDR1), 50-56 (CDR2) and 94-100B (CDR3). In addition murine residues were used in gH341D at positions 24, 48, 69, 71, 73, 80, 88 and 91. Comparison of the murine anti-ICAM 1 and human EU heavy chain amino acid sequences are identical at positions 23, 49 and 78.

- 61 -

EXAMPLE 5

CDR-Grafting of murine anti-TNF $\alpha$  antibodies

A number of murine anti-TNF $\alpha$  monoclonal antibodies were CDR-grafted substantially as described above in previous examples. These antibodies include the murine monoclonal antibodies designated 61 E71, hTNF1, hTNF3 and 101.4. A brief summary of the CDR-grafting of each of these antibodies is given below.

61E71

A similar analysis as described above (Example 1, Section 12.1.) was done for 61E71 and for the heavy chain 10 residues were identified at 23, 24, 48, 49, 68, 69, 71, 73, 75 and 88 as residues to potentially retain as murine. The human frameworks chosen for CDR-grafting of this antibody, and the hTNF3 and 101.4 antibodies were RE1 for the light chain and KOL for the heavy chain. Three genes were built, the first of which contained 23, 24, 48, 49, 71 and 73 [gH341(6)] as murine residues. The second gene also had 75 and 88 as murine residues [gH341(8)] while the third gene additionally had 68, 69, 75 and 88 as murine residues [gH341(10)]. Each was co-expressed with gL221, the minimum grafted light chain (CDRs only). The gL221/gH341(6) and gL221/gH341(8) antibodies both bound as well to TNF as murine 61E71. The gL221/gH341(10) antibody did not express and this combination was not taken further. Subsequently the gL221/gH341(6) antibody was assessed in an L929 cell competition assay in which the antibody competes against the TNF receptor on L929 cells for binding to TNF in solution. In this assay the gL221/gH341(6) antibody was approximately 10% as active as murine 61E71.

hTNF1

hTNF1 is a monoclonal antibody which recognises an epitope on human TNF-. The EU human framework was used for CDR-grafting of both the heavy and light variable domains.

Heavy Chain

In the CDR-grafted heavy chain (ghTNF1) mouse CDRs were used at positions 26-35 (CDR1), 50-65 (CDR2) and 95-102 (CDR3). Mouse residues were also used in the frameworks at positions 48, 67, 69, 71, 73, 76, 89, 91, 94 and 108. Comparison of the TNF1 mouse and EU human heavy chain residues reveals that these are identical at positions 23, 24, 29 and 78.

Light Chain

In the CDR-grafted light chain (gLhTNF1) mouse CDRs were used at positions 24-34 (CDR1), 50-56 (CDR2) and 89-97 (CDR3). In addition mouse residues were used in the frameworks at positions 3, 42, 48, 49, 83, 106 and 108. Comparison of the hTNF1 mouse and EU human light chain residues reveals that these are identical at positions 46, 58 and 71.

The grafted hTNF1 heavy chain was co-expressed with the chimeric light chain and the binding ability of the product compared with that of the chimeric light chain/chimeric heavy chain product in a TNF binding assay. The grafted heavy chain product appeared to have binding ability for TNF slightly better than the fully chimeric product.

Similarly, a grafted heavy chain/grafeted light chain product was co-expressed and compared with the fully chimeric product and found to have closely similar binding properties to the latter product.

- 63 -

hTNF3

hTNF3 recognises an epitope on human TNF- $\alpha$ . The sequence of hTNF3 shows only 21 differences compared to 61E71 in the light and heavy chain variable regions, 10 in the light chain (2 in the CDRs at positions 50, 96 and 8 in the framework at 1, 19, 40, 45, 46, 76, 103 and 106) and 11 in the heavy chain (3 in the CDR regions at positions 52, 60 and 95 and 8 in the framework at 1, 10, 38, 40, 67, 73, 87 and 105). The light and heavy chains of the 61E71 and hTNF3 chimeric antibodies can be exchanged without loss of activity in the direct binding assay. However 61E71 is an order of magnitude less able to compete with the TNF receptor on L929 cells for TNF- $\alpha$  compared to hTNF3. Based on the 61E71 CDR grafting data gL221 and gH341(+23, 24, 48, 49 71 and 73 as mouse) genes have been built for hTNF3 and tested and the resultant grafted antibody binds well to TNF- $\alpha$ , but competes very poorly in the L929 assay. It is possible that in this case also the framework residues identified for OKT3 programme may improve the competitive binding ability of this antibody.

101.4

101.4 is a further murine monoclonal antibody able to recognise human TNF- $\alpha$ . The heavy chain of this antibody shows good homology to KOL and so the CDR-grafting has been based on RE1 for the light chain and KOL for the heavy chain. Several grafted heavy chain genes have been constructed with conservative choices for the CDR's (gH341) and which have one or a small number of non-CDR residues at positions 73, 78 or 77-79 inclusive, as the mouse amino acids. These have been co-expressed with cL or gL221. In all cases binding to TNF equivalent to the chimeric antibody is seen and when co-expressed with cL the resultant antibodies are able to compete well in the L929 assay. However, with gL221 the resultant antibodies

- 64 -

are at least an order of magnitude less able to compete for TNF against the TNF receptor on L929 cells.

Mouse residues at other positions in the heavy chain, for example, at 23 and 24 together or at 76 have been demonstrated to provide no improvement to the competitive ability of the grafted antibody in the L929 assay.

A number of other antibodies including antibodies having specificity for interleukins e.g. IL1 and cancer markers such as carcinoembryonic antigen (CEA) e.g. the monoclonal antibody A5B7 (ref. 21), have been successfully CDR-grafted according to the present invention.

It will be appreciated that the foregoing examples are given by way of illustration only and are not intended to limit the scope of the claimed invention. Changes and modifications may be made to the methods described whilst still falling within the spirit and scope of the invention.

References

1. Kohler & Milstein, Nature, 265, 295-497, 1975.
2. Chatenoud et al, (1986), J. Immunol. 137, 830-838.
3. Jeffers et al, (1986), Transplantation, 41, 572-578.
4. Begent et al, Br. J. Cancer 62: 487 (1990).
5. Verhoeven et al, Science, 239, 1534-1536, 1988.
6. Riechmann et al, Nature, 332, 323-324, 1988.
7. Kabat, E.A., Wu, T.T., Reid-Miller, M., Perry, H.M., Gottesman, K.S., 1987, in Sequences of Proteins of Immunological Interest, US Department of Health and Human Services, NIH, USA.
8. Wu, T.T., and Kabat, E.A., 1970, J. Exp. Med. 132 211-250.
9. Queen et al, (1989), Proc. Natl. Acad. Sci. USA, 86, 10029-10033 and WO 90/07861
10. Maniatis et al, Molecular Cloning, Cold Spring Harbor, New York, 1989.
11. Primrose and Old, Principles of Gene Manipulation, Blackwell, Oxford, 1980.
12. Sanger, F., Nicklen, S., Coulson, A.R., 1977, Proc. Natl. Acad. Sci. USA, 74 5463

- 66 -

13. Kramer, W., Drutsa, V., Jansen, H.-W., Kramer, B., Plugfelder, M., Fritz, H.-J., 1984, Nucl. Acids Res. 12, 9441
14. Whittle, N., Adair, J., Lloyd, J.C., Jenkins, E., Devine, J., Schlom, J., Raubitshek, A., Colcher, D., Bodmer, M., 1987, Protein Engineering 1, 499.
15. Sikder, S.S., Akolkar, P.N., Kaledas, P.M., Morrison, S.L., Kabat, E.A., 1985, J. Immunol. 135, 4215.
16. Wallick, S.C., Kabat, E.A., Morrison, S.L., 1988, J. Exp. Med. 168, 1099
17. Bebbington, C.R., Published International Patent Application WO 89/01036.
18. Granthan and Perrin 1986, Immunology Today 7, 160.
19. Kozak, M., 1987, J. Mol. Biol. 196, 947.
20. Jones, T.P., Dear, P.H., Foote, J., Neuberger, M.S., Winter, G., 1986, Nature, 321, 522
21. Harwood et al, Br. J. Cancer, 54, 75-82 (1986).

- 67 -

CLAIMS

1. A CDR-grafted antibody heavy chain having a variable region domain comprising acceptor framework and donor antigen binding regions wherein the framework comprises donor residues at at least one of positions 6, 23 and/or 24, 48 and/or 49, 71 and/or 73, 75 and/or 76 and/or 78 and 88 and/or 91.
2. A CDR-grafted heavy chain according to Claim 1 comprising donor residues at positions 23, 24, 49, 71, 73 and 78, or at positions 23, 24 and 49.
3. A CDR-grafted heavy chain according to Claim 2 comprising donor residues at positions 2, 4, 6, 25, 36, 37, 39, 47, 48, 93, 94, 103, 104, 106 and 107.
4. A CDR-grafted heavy chain according to Claim 2 or 3, comprising donor residues at one, some or all of positions:  
1 and 3,  
69 (if 48 is different between donor and acceptor),  
38 and 46 (if 48 is the donor residue),  
67,  
82 and 18 (if 67 is the donor residue),  
91, and  
any one or more of 9, 11, 41, 87, 108, 110 and 112.
5. A CDR-grafted heavy chain according to any of the preceding comprising donor CDRs at positions 26-35, 50-65 and 95-100.
6. A CDR-grafted antibody light chain having a variable region domain comprising acceptor framework and donor antigen binding regions wherein the framework comprises donor residues at at least one of positions 1 and/or 3 and 46 and/or 47.

- 68 -

7. A CDR-grafted light chain according to Claim 6 comprising donor residues at positions 46 and 47.
8. A CDR-grafted antibody light chain having a variable region domain comprising acceptor framework and donor antigen binding regions wherein the framework comprises donor residues at at least one of positions 46, 48, 58 and 71.
9. A CDR-grafted light chain according to Claim 8 comprising donor residues at positions 46, 48, 58 and 71.
10. A CDR-grafted light chain according to Claim 8 or 9, comprising donor residues at positions 2, 4, 6, 35, 36, 38, 44, 47, 49, 62, 64-69, 85, 87, 98, 99, 101 and 102.
11. A CDR-grafted light chain according to Claim 9 or 10, comprising donor residues at one, some or all of positions:  
1 and 3,  
63,  
60 (if 60 and 54 are able to form a potential saltbridge),  
70 (if 70 and 24 are able to form a potential saltbridge),  
73 and 21 (if 47 is different between donor and acceptor),  
37 and 45 (if 47 if different between donor and acceptor), and  
any one or more of 10, 12, 40, 83, 103 and 105.
12. A CDR-grafted light chain according to any one of Claims 6-11, comprising donor CDRs at positions 24-34, 50-56 and 89-97.

- 69 -

13. A CDR-grafted antibody molecule comprising at least one CDR-grafted heavy chain according to any one of Claims 1-5 and at least one CDR-grafted light chain according to any one of Claims 6-12.
14. A CDR-grafted antibody molecule according to Claim 13, which is a site-specific antibody molecule.
15. A CDR-grafted antibody molecule according to Claim 13 which has specificity for an interleukin, hormone or other biologically active compound or a receptor therefor.
16. A CDR-grafted antibody heavy or light chain or molecule according to any one of the preceding claims comprising human acceptor residues and non-human donor residues.
17. A DNA sequence which codes for a CDR-grafted heavy chain according to Claim 1 or a CDR-grafted light chain according to Claim 6 or Claim 8.
18. A cloning or expression vector containing a DNA sequence according to Claim 17.
19. A host cell transformed with a DNA sequence according to Claim 17.
20. A process for the production of a CDR-grafted antibody sequence according to Claim 17 in a transformed host cell.
21. A process for producing a CDR-grafted antibody product comprising:

- 70 -

- (a) producing in an expression vector an operon having a DNA sequence which encodes an antibody heavy chain according to Claim 1;
- and/or
- (b) producing in an expression vector an operon having a DNA sequence which encodes a complementary antibody light chain according to Claim 6 or Claim 8;
- (c) transfecting a host cell with the or each vector; and
- (d) culturing the transfected cell line to produce the CDR-grafted antibody product.

22. A therapeutic or diagnostic composition comprising a CDR-grafted antibody heavy chain according to Claim 1, or a CDR-grafted light chain according to Claim 6 or Claim 8, or a CDR-grafted antibody molecule according to Claim 13 in combination with a pharmaceutically acceptable carrier, diluent or excipient.

23. A method of therapy or diagnosis comprising administering an effective amount of a CDR-grafted heavy chain according to Claim 1, or a CDR-grafted light chain according to Claim 6 or Claim 8, or a CDR-grafted antibody molecule according to Claim 13 to a human or animal subject.

# CARPMAELS & RANSFORD

CHARTERED PATENT AGENTS EUROPEAN PATENT ATTORNEYS TRADE MARK AGENTS

TELEPHONE 071 242 8892  
TELEX 267209  
FACSIMILE 071 405 4186  
071 831 8501

43 BLOOMSBURY SQUARE  
LONDON WC1A 2RA  
AND AT MUNICH

JOHN R. DAVY  
IAN B. P. DE M. DEVAUX  
S. DAVID VOTHER  
JOHN W. M. CARPMAEL  
ALAN J. JONES

STEPHEN J. COLGAN  
N. KEITH MORRIS  
ADRIAN J. PIPER  
CHRIS. P. MERCEZ  
HILW G. HALLTECH

W. E. P. DAVIES  
S. V. INGRAM  
M. J. GOODMAN  
P. M. JOHNSTON

R. W. BEMPTON  
R. N. FIELD  
ANNE WONG

The International Unit,  
The Patent Office,

J. A. MURPHY (MANAGER)

CONSULTANTS

DEREK G. R. GRUNDY

JOHN A. COOPER

REC'D - 4 JUN 1991

WIPO PCT

YOUR REF

OUR REF

P07275WO: CPM/KAH

23rd January, 1991.

## REQUEST FOR RECTIFICATION UNDER PCT RULE 91.1(f)

Dear Sirs,

Re: International Patent Application No. PCT/GB90/02017/  
Celltech Limited et al.

I refer to your Invitation issued on 14th January 1991. The required Authorisations and Formal Drawings will be filed in due course.

In checking the application, it has become apparent that there are three mistakes in the Request Form.

Firstly, ....

Secondly, ....

Thirdly, for reasons which are not apparent, an old version of the Request Form (PCT/RO/101 of July 1987) was used instead of the most up-to-date version. As a result of this, some PCT states were not designated although it was the Applicant's intention that all possible states should have been designated. As evidence of this, I attach a copy of the information sheet which was given to me by hand by the Applicant's Patent Manager on the date the application

was filed. It can be seen that this clearly indicates that all territories should have been designated.

I also enclose evidence that the out-of-date Request Form was used inadvertently. At the same time as the present application was filed, I also filed two other PCT applications, Nos. PCT/GB90/02015 and PCT/GB90/02018. I enclose copies of the Request Forms for these cases which, as you can see, are the most up-to-date versions of the forms.

I therefore request that the Request Form be amended by adding thereto the designations of Canada and Spain as national applications and Greece, Spain and Denmark as designated states within the EPC designation. I note that it will not be necessary to pay any extra fees in respect of these inadvertently omitted designations.

In order to effect all these corrections, I enclose a retyped, up-to-date (at the date of filing) Request Form and request that this be substituted for the present, out-of-date Request Form.

Yours truly,



MERCER, Christopher Paul  
Authorised Representative.

1 GAATTCCCAA AGACAAAatg qatttcaag tgcagatttt cagcttcct  
51 ctaatcagtq cctcagtcat aatatccqa qqacaatttg ttctcaccc  
101 gtctccagca atcatgttctg cattccagg ggagaaggtc accatgacct  
151 gcagtgccag ctcaagtgtta agttacatga actggtacca gcagaagtca  
201 ggcacctccc caaaaagatg gattatgac acatccaaac tggcttctgg  
251 agtccctgct cacttcaggg gcagtggtc tgggacctt tactctctca  
301 caatcagcgg catggaggct gaagatgctg ccaacttatta ctgcccagcg  
351 tggagtagta accattcac gttcggctcg gggacaaagt tggaaataaaa  
401 cccggctgat actgcacaa ctgtatccat cttcccacca tccagtgagc  
451 agttaacatc tggaggtgcc tcagtcgtgt gcttctgaa caacttctac  
501 cccaaagaca tcaatgtcaa gtggaagatt gatggcagtg aacgacaaa  
551 tggcgtcctg aacagttgga ctgatcaggga cagcaagac agcacctaca  
601 gcatgagcgag caccctcacg ttgaccaaggg acgagtatga acgacataac  
651 agctatacct gtgaggccac tcacaagaca tcaacttcac ccattgtcaa  
701 gagcttcaac aggaatgagt gtTAGAGACA AAGGTCTGA GACGCCACCA  
751 CCAGCTCCCA GCTCCATCCT. ATCTTCCCTT CTAAGGTCTT GGAGGCTTCC  
801 CCACAAGCGC tTACCACTGT TGCGGTGCTC tAAACCTCCT CCCACCTCCT  
851 TCTCCTCCTC CTCCCTTCC TTGGCTTTA TCATGCTAAT ATTTGCAGAA  
901 AATATTCAAT AAAGTGAGTC TTTGCCTTGA AAAAAAAA AAA

Fig. 1(a)

1 MDFVOVOIFSF LLISASVIIS RGQIVLTQSP AIMSASPGEK VTMTCSASSS  
51 VSYMNWYQQK SGTSPKRWIY DTSKLASGVP AHFRGSGSGT SYSLTISGME  
101 AEDAATYYCQ QWSSNPFTFG SGTKLEINRA DTAPTVSIFP PSSEQLTSGG  
151 ASVVCFLNNF YPKDINVWKW IDGSERQNGV LNSWTDQDSK DSTYSMSSTL  
201 TLTKDEYERH NSYTCEATHK TSTSPIVKSF NRNEC\*

Fig. 1(b)

1 GAATTCCCCT CTCCACAGAC ACTGAAA ACTGACTCAAC ATGGAAAGGC  
51 ACTGGATCTT TCTACTCCTG TTGTCAGTAA CTGCAGGTGT CCACTCCCAG  
101 GTCCAGCTGC AGCAGTCTGG GGCTGAAC TG GCAAGACCTG GGGCCTCAGT  
151 GAAGATGTCC TGCAAGGCTT CTGGCTACAC CTTTACTAGG TACACGATGC  
201 ACTGGGTAAA ACAGAGGCCT GGACAGGGTC TGGAAATGGAT TGGATACATT  
251 AATCCTAGCC GTGGTTATAC TAATTACAAT CAGAAGTTCA AGGACAAGGC  
301 CACATTGACT ACAGACAAAT CCTCCAGCAC AGCCTACATG CAACTGAGCA  
351 GCCTGACATC TGAGGACTCT GCAGTCTATT ACTGTGCAAG ATATTATGAT  
401 GATCATTACT GCCCTTGACTA CTGGGGCCAA GGCACCACTC TCACAGTCTC  
451 CTCAGCCAAA ACAACAGCCC CATCGGTCTA TCCACTGGCC CCTGTGTGTG  
501 GAGATACAAC TGGCTCCTCG GTGACTCTAG GATGCCTGGT CAAGGGTTAT  
551 TTCCCTGAGC CAGTGACCTT GACCTGGAAC TCTGGATCCC TGTCCAGTGG  
601 TGTGCACACC TTCCCAGCTG TCCTGCAGTC TGACCTCTAC ACCCTCAGCA  
651 GCTCAGTGAC TGTAACCTCG AGCACCTGGC CCAGCCAGTC CATCACCTGC  
701 AATGTGGCCC ACCCGGCAAG CAGCACCAAG GTGGACAAGA AAATTGAGCC  
751 CAGAGGGCCC ACAATCAAGC CCTGTCCTCC ATGCAAATGC CCAGCACCTA  
801 ACCTCTTGGG TGGACCATCC GTCTTCATCT TCCCTCCAAA GATCAAGGAT  
851 GTACTCATGA TCTCCCTGAG CCCCATAGTC ACATGTGTGG TGGTGGATGT  
901 GAGCGAGGAT GACCCAGATG TCCAGATCAG CTGGTTGTG AACAAACGTGG  
951 AAGTACACAC AGCTCAGACA CAAACCCATA GAGAGGATTA CAAACAGTACT  
1001 CTCCGGGTGG TCAGTGCCCT CCCCATCCAG CACCAGGACT GGATGAGTGG  
1051 CAAGGAGTTC AAATGCAAGG TCAACAAACAA AGACCTCCCA GCGCCCATCG  
1101 AGAGAACCAT CTCAAAACCC AAAGGGTCAG TAAGAGCTCC ACAGGTATAT  
1151 GTCTTGCTC CACCAGAAGA AGAGATGACT AAGAACAGG TCACTCTGAC  
1201 CTGCATGGTC ACAGACTTCA TGCCTGAAGA CATTACGTG GAGTGGACCA  
1251 ACAACGGGAA AACAGAGCTA AACTACAAGA ACACGTGAAAC AGTCCTGGAC  
1301 TCTGATGGTT CTTACTTCAT GTACAGCAAG CTGAGAGTGG AAAAGAAGAA  
1351 CTGGGTGGAA AGAAATAGCT ACTCCTGTTC AGTGGTCCAC GAGGGTCTGC  
1401 ACAATCACCA CACGACTAAG AGCTTCTCCC GGACTCCGGG TAAATGAGCT  
1451 CAGCACCCAC AAAACTCTCA GGTCCAAAGA GACACCCACA CTCATCTCCA  
1501 TGCTTCCCTT GTATAAATAA AGCACCCAGC AATGCCTGGG ACCATGTAAA  
1551 AAAAAAAA AAAGGAATTC

Fig. 2(a)

3/15

## OKT 3 HEAVY CHAIN PROTEIN SEQUENCE DEDUCED FROM DNA SEQUENCE

1 MERHWIFLLL LSVTAGVHSQ VQLQQSGAEL ARPGASVKMS CKASGYTFTR  
 51 YTMHWVKQRP GQGLEWIGYI NPSRGYTNYN QKFKDKATLT TDKSSSTAYM  
 101 QLSSLTSEDS AVYYCARYYD DHYCLDYWGQ GTTLLTVSSAK TTAPSVYPLA  
 151 PVCGDTTGSS VTLGCLVKGY FPEPVTLTWN SGSLSSGVHT FPAVLQSDLV  
 201 TLSSSVTVTS STWPSQSITC NVAHPASSTK VDKKIEPRGP TIKPCPPCKC  
 251 PAPNLLGGPS VFIFPPKIKD VLMISLSPIV TCVVVDVSED DPDVQISWFV  
 301 NNVEVHTAQQT QTHREDYNST LRVVSALPIQ HQDWMSGKEF KCKVNNKDLV  
 351 APIERTISKP KGSRVAPQVY VLPPPEEEMT KKQVTLTCMV TDFMPEDIYV  
 401 EWTNNNGKTEL NYKNTEPVLD SDGSYFMYSK LRVEKKNWVE RNSYSCSVVH  
 451 EGLHNHHTTK SFSRTPGK\*

Fig. 2(b)

	1	23	42
RES TYPE	NN	N	N N
Okt3v1	SBspSPEsSsBSbSsSsSsPSPSPsPSSse*s*p*Pi^I	SsSe	
REI	QIVLTQSPA <del>IMSASP</del> GEKVTMTCASS.	SVSYM <u>NWY</u> QQKSGT	
	DIQMTQSPSSLSASVGDRV <del>T</del> ITCQASQDI <del>I</del> IKYLNWYQQTPGK		
	?	?	
CDR1	(LOOP)	*****	
CDR1	(KABAT)	*****	

	56	85
RES TYPE	N NN	
Okt3v1	*IsiPpIeesesssSB <del>E</del> sePsPSBSSEsPsp <del>s</del> PsseessSPePb	
REI	SPKRWIYDTSKLASGVPA <u>HFR</u> SGSGT <del>S</del> LTIS <u>G</u> MEAEDAAT	
	APKLLIYEASN <del>L</del> QAGVPSRFSGSGSGTD <u>YTF</u> TISSLQPEDIAT	
	?	?
	*****	CDR2 (LOOP/KABAT)

	102	108
RES TYPE	PiPIPies**iPII <del>s</del> PPSPSPSS	
Okt3v1	YYCQQWSSNPFTFG <u>GT</u> KLEINR	
REIv1	YYCQQYQSLPYTFGQG <u>TKL</u> QITR	
	?	?
	*****	CDR3 (LOOP)
	*****	CDR3 (KABAT)

Fig. 3

SUBSTITUTE SHEET

4 /15

	NN N	23 26	32 35	N39	43
RES TYPE	SESPs^SBsss^sSSsSpSpSPsPSEbSBssBePiPiesss				
Okt3h	QVQLQQ <u>S</u> GAEL <u>A</u> RP <u>G</u> ASV <u>K</u> MSCKASGYTFTRYTMHWVKQRPGQ				
KOL	QVQLVESGGG <u>V</u> QPG <u>R</u> SLRLSC <u>S</u> SSGF <u>I</u> FSSYAMYWVRQAPGK				
	?	??			
			*****	CDR1 (LOOP)	
				***** CDR1 (KABAT)	

	52a	60 65	N N N	82abc	89
RES TYPE	IIeIppp^ssssssss^ps^pSSsbSpseSsSseSp^pSpssSBsss^ePb				
Okt3vh	GLEWIGYINPSRGYTNTNQKF <u>K</u> D <u>K</u> ATLTTDKSSSTAYMQLSSLTSEDSAV				
KOL	GLEWVA <u>I</u> IWDDGSDQHYADSVKGRFTISRD <u>N</u> SKNT <u>L</u> FLQMDSLR <u>P</u> EDT <u>G</u> V				
	??	??	??	??	??
			*****	CDR2 (LOOP)	
				***** CDR2 (KABAT)	

	92 N	107	113		
RES TYPE	PiPIEisssssiiisssbibi*EIPiP*spSBSS				
Okt3vh	YYCARYYDDHY.....CLDYWGQGTTLT <u>V</u> SS				
KOL	Y <u>E</u> CARDGGHGFCSSASC <u>F</u> GP <u>D</u> YWGQGT <u>P</u> VT <u>V</u> SS				
		*****	*****	CRD3 (KABAT/LOOP)	

Fig. 4

## OKT 3 HEAVY CHAIN CDR GRAFTS

## 1. gh341 and derivatives

	1	26	35	39	43
Okt3vh	QVQLQQSGAELARPGASVKMSCKASGYTFTRYTMHWVKQRPGQ				
gh341	QVQLVESGGVVQPGRSRLSCKSSSGYTFTRYTMHWVRQAPGK				JA178
gh341A	QVQLV <u>Q</u> SGGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA185
gh341E	QVQLV <u>Q</u> SGGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA198
gh341*	QVQLV <u>Q</u> SGGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA207
gh341*	QVQLV <u>Q</u> SGGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA209
gh341D	QVQLV <u>Q</u> SGGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA197
gh341*	QVQLV <u>Q</u> SGGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA199
gh341C	QVQLV <u>Q</u> SGGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA184
gh341*	QVQLV <u>Q</u> SGGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA203
gh341*	QVQLVESGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA205
gh341B	QVQLVESGGVVQPGRSRLSCKSSSGYTFTRYTMHWVRQAPGK				JA183
gh341*	QVQLV <u>Q</u> SGGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA204
gh341*	QVQLVESGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA206
gh341*	QVQLV <u>Q</u> SGGGVVQPGRSRLSCK <u>ASGYTFTRYTMHWVRQAPGK</u>				JA208
KOL	QVQLVESGGVVQPGRSRLSCKSSSGFIFSSYAMYWVRQAPGK				

Fig. 5(i)

44 50

65

83

Okt3vh	GLEWIGYINPSRGYTNYNQKFKD <del>K</del> ATLTTDKSSSTAYMQLSSLT
gH341	GLEWVAY <u>INPSRGYTNYNOKF</u> KDRFTISRDNSKNTLFLQMDSLR JA178
gH341A	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTIST <u>DKSKSTA</u> FLQMDSLR JA185
gH341E	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTIST <u>DKSKSTA</u> FLQMDSLR JA198
gH341*	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTIST <u>DKSKNTA</u> FLQMDSLR JA207
gH341*	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTISRDNSKNTAFLQMDSLR JA209
gH341D	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTIST <u>DKSKNTL</u> FLQMDSLR JA197
gH341*	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTISRDNSKNTLFLQMDSLR JA199
gH341C	GLEWVAY <u>INPSRGYTNYNOKF</u> KDRFTISRDNSKNTLFLQMDSLR JA184
gH341*	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTIST <u>DKSKSTA</u> FLQMDSLR JA207
gH341*	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTIST <u>DKSKSTA</u> FLQMDSLR JA205
gH341B	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTIST <u>DKSKSTA</u> FLQMDSLR JA183
gH341*	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTIST <u>DKSKSTA</u> FLQMDSLR JA204
gH341*	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTIST <u>DKSKSTA</u> FLQMDSLR JA206
gH341*	GLEW <u>IGYINPSRGYTNYNOKV</u> KDRFTIST <u>DKSKNTA</u> FLQMDSLR JA208
KOL	GLEWVAYI <del>W</del> DDGSDQHYADSVKGRFTISRDNSKNTLFLQMDSLR

Fig. 5(ii)

	84	95	102	113
Okt3vh	SEDSAVYYCARYYDDHY.....	CLDYWGQGTTLTVSS		
gH341	PEDTGVYFCARYYDDHY.....	CLDYWGQGTTLTVSS		JA178
gH341A	PEDTA <u>VYY</u> CARYYDDHY.....	CLDYWGQGTTLTVSS		JA185
gH341E	PEDTGVYFCARYYDDHY.....	CLDYWGQGTTLTVSS		JA198
gH341*	PEDTGVYFCARYYDDHY.....	CLDYWGQGTTLTVSS		JA207
gH341D	PEDTGVYFCARYYDDHY.....	CLDYWGQGTTLTVSS		JA197
gH341*	PEDTGVYFCARYYDDHY.....	CLDYWGQGTTLTVSS		JA209
gH341*	PEDTGVYFCARYYDDHY.....	CLDYWGQGTTLTVSS		JA199
gH341C	PEDTGVYFCARYYDDHY.....	CLDYWGQGTTLTVSS		JA184
gH341*	PEDTA <u>VYY</u> CARYYDDHY.....	CLDYWGQGTTLTVSS		JA203
gH341*	PEDTA <u>VYY</u> CARYYDDHY.....	CLDYWGQGTTLTVSS		JA205
gH341B	PEDTA <u>VYY</u> CARYYDDHY.....	CLDYWGQGTTLTVSS		JA183
gH341*	PEDTGVYFCARYYDDHY.....	CLDYWGQGTTLTVSS		JA204
gH341*	PEDTGVYFCARYYDDHY.....	CLDYWGQGTTLTVSS		JA206
gH341*	PEDTGVYFCARYYDDHY.....	CLDYWGQGTTLTVSS		JA208
KOL	PEDTGVYFCARDGGHGFCSSASCFGPDYWGQGTPVTVSS			

Fig. 5(iii)

8 / 15

## OKT3 LIGHT CHAIN CDR GRAFTING

## 1. gL221 and derivatives

	1	24	34	42
Okt3v1	QIVLTQSPAIMSASPGEKVTMTC SASS . SVSYM NWYQQKSGT			
gL221	DIQMTQSPSSLSASVGDRV TITCSASS . SVSYM NWYQQTPGK			
gL221A	<u>QIVMTQSPSSLSASVGDRV TITCSASS . SVSYM NWYQQTPGK</u>			
gL221B	<u>QIVMTQSPSSLSASVGDRV TITCSASS . SVSYM NWYQQTPGK</u>			
gL221C	DIQMTQSPSSLSASVGDRV TITCSASS . SVSYM NWYQQTPGK			
REI	DIQMTQSPSSLSASVGDRV TITC QASQDI IKYLNWYQQTPGK			
	43	50	56	85
Okt3v1	SPKRWIYDT SKLASGVPAHFRGSGSGTSYSLTISGM EAEDAAT			
gL221	APKLLIYDT SKLASGVPSRFSGSGSGTDY TFTISSLQ PEDIAT			
gL221A	<u>APKRWIYDT SKLASGVPSRFSGSGSGTDY TFTISSLQ PEDIAT</u>			
gL221B	<u>APKRWIYDT SKLASGVPSRFSGSGSGTDY TFTISSLQ PEDIAT</u>			
gL221C	<u>APKRWIYDT SKLASGVPSRFSGSGSGTDY TFTISSLQ PEDIAT</u>			
REI	APKLLIYEASNLQAGVPSRFSGSGSGTDY TFTISSLQ PEDIAT			
	86	91	96	108
Okt3v1	YYCQQWSSNPFTFGSGTKLEINR			
gL221	<u>YYCQQWSSNPFTFGQG TKLQITR</u>			
gL221A	<u>YYCQQWSSNPFTFGQG TKLQITR</u>			
gL221B	<u>YYCQQWSSNPFTFGQG TKLQITR</u>			
gL221C	<u>YYCQQWSSNPFTFGQG TKLQITR</u>			
REI	YYCQQYQSLPYTFGQG TKLQITR			

CDR'S ARE UNDERLINED

FRAMEWORK RESIDUES INCLUDED IN THE GENE ARE DOUBLE  
UNDERLINED

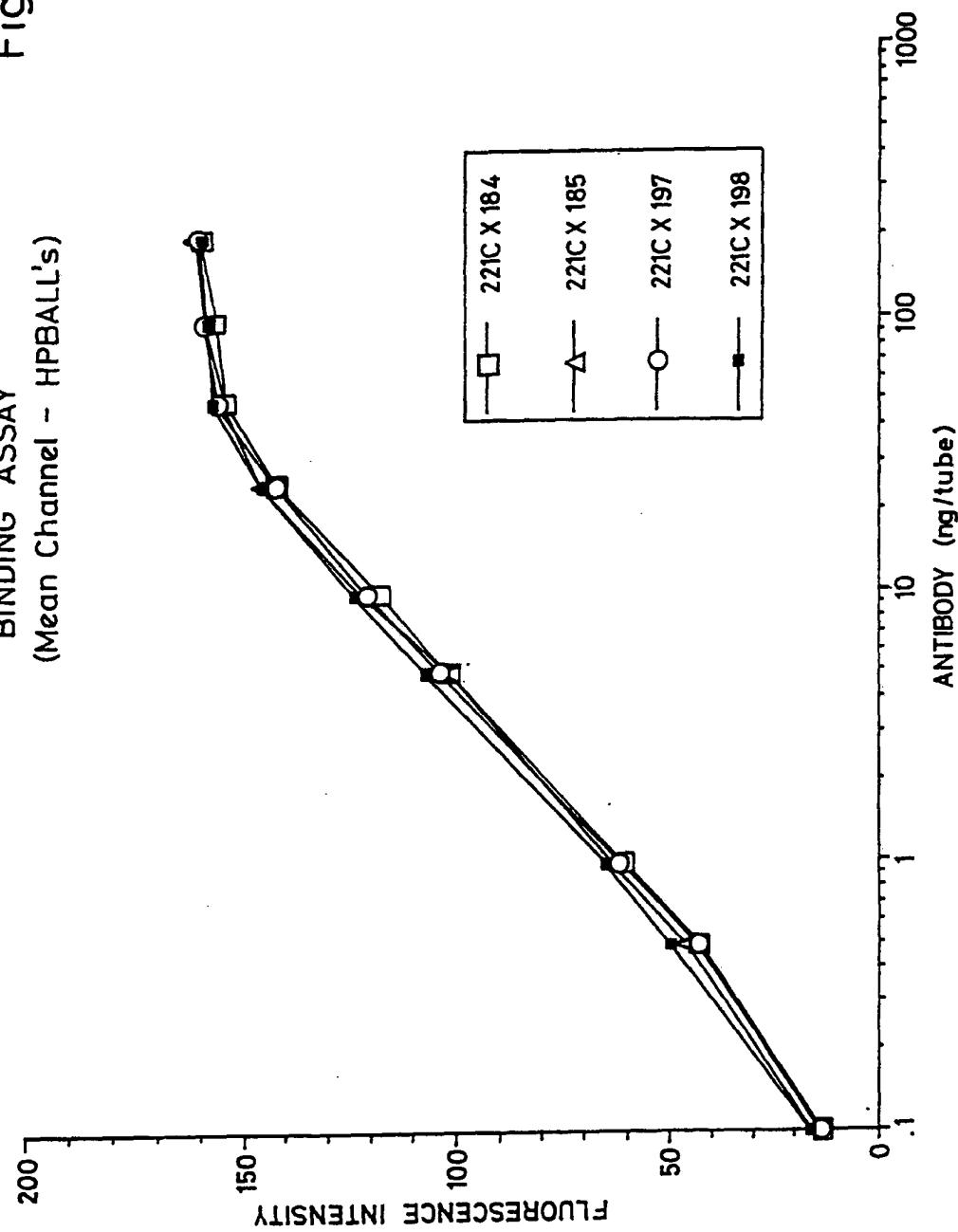
Fig. 6

SUBSTITUTE SHEET

9 / 15

OKT3 - PJA198 EVALUATION  
BINDING ASSAY  
(Mean Channel - HPBALL's)

Fig. 7

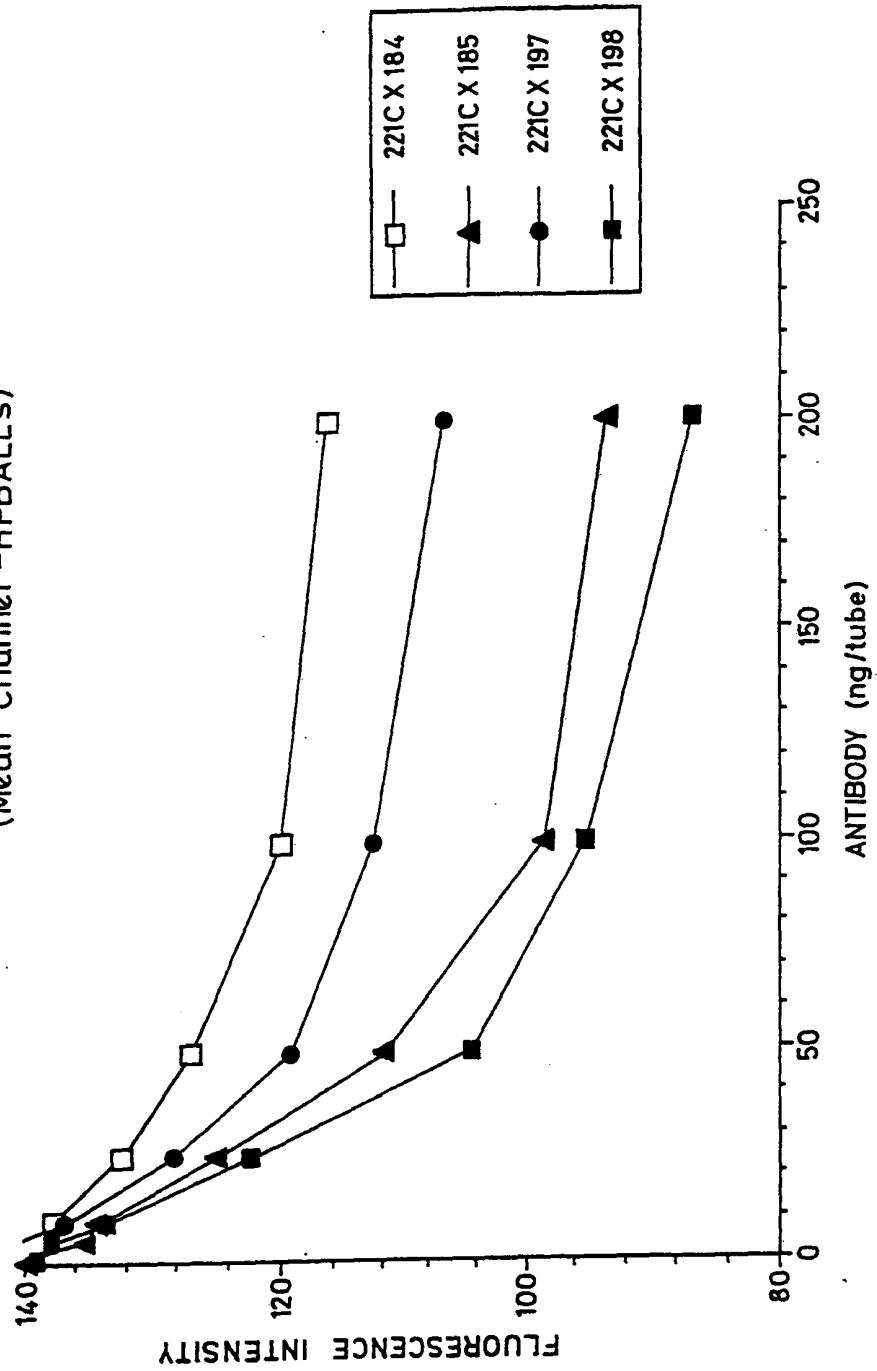


SUBSTITUTE SHEET

10 / 15

Fig. 8

OKT3 - PJA198 EVALUATION  
BLOCKING ASSAY  
(Mean Channel - HPB ALL's)



SUBSTITUTE SHEET

11/15

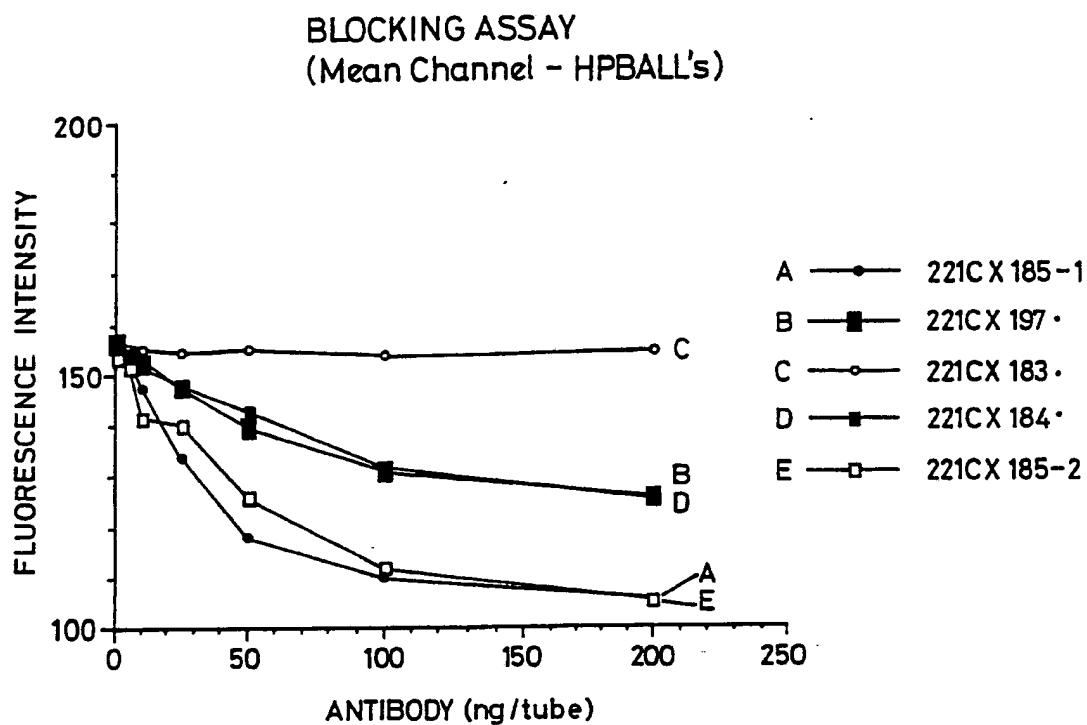
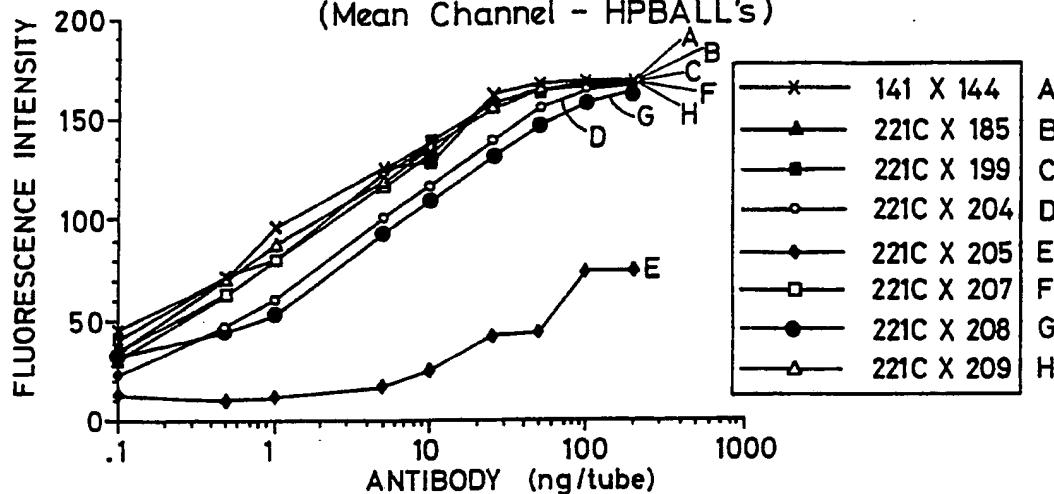


Fig. 9

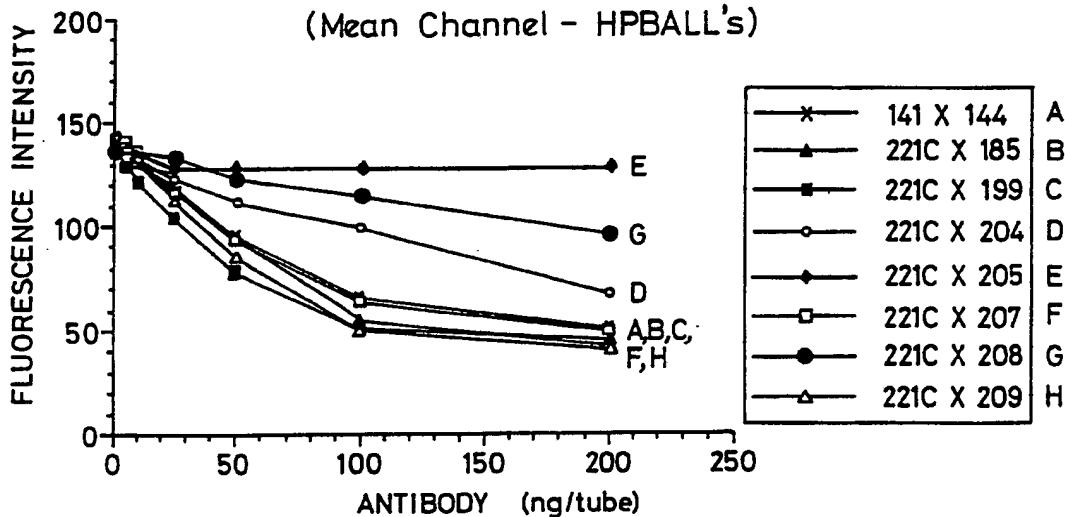
12 / 15

Fig.10

OKT3 - GRAFTED HEAVY CHAINS  
BINDING ASSAY  
(Mean Channel - HPBALL's)



OKT3 - GRAFTED HEAVY CHAINS  
BLOCKING ASSAY  
(Mean Channel - HPBALL's)

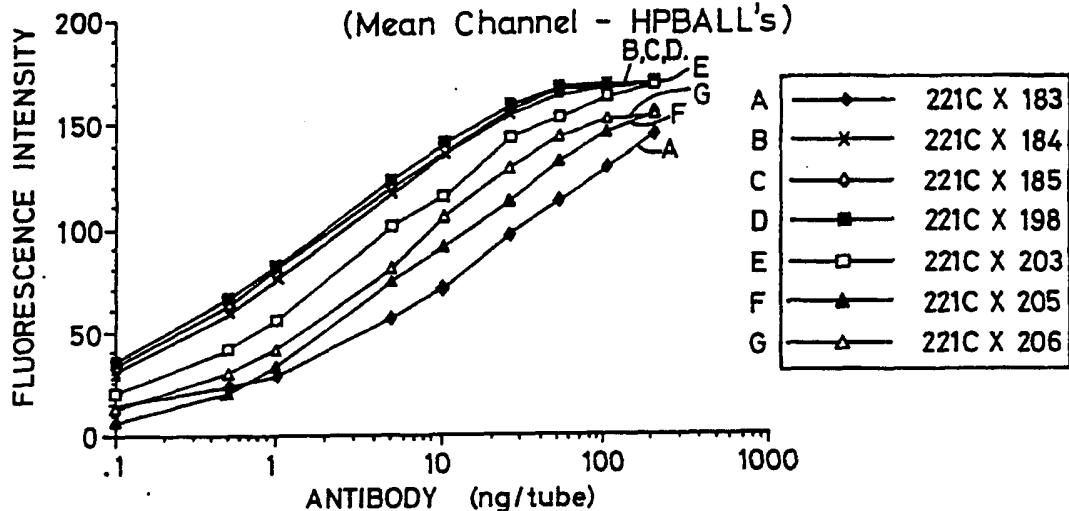


◆ (205)	24, 48, 49, 71, 73, 76, 78, 88, 91,
● (208)	6, __, 24, 48, 49, 71, 73, __, 78, __, __,
○ (204)	6, __, 24, 48, 49, 71, 73, 76, 78, __, __,
● (199)	6, 23, 24, 48, 49, __, __, __, __, __,
□ (207)	6, 23, 24, 48, 49, 71, 73, __, 78, __, __,
▲ (185)	6, 23, 24, 48, 49, 71, 73, 76, 78, 88, 91,
△ (209)	6, 23, 24, 48, 49, __, __, __, 78, __, __,
* 141 X 144	

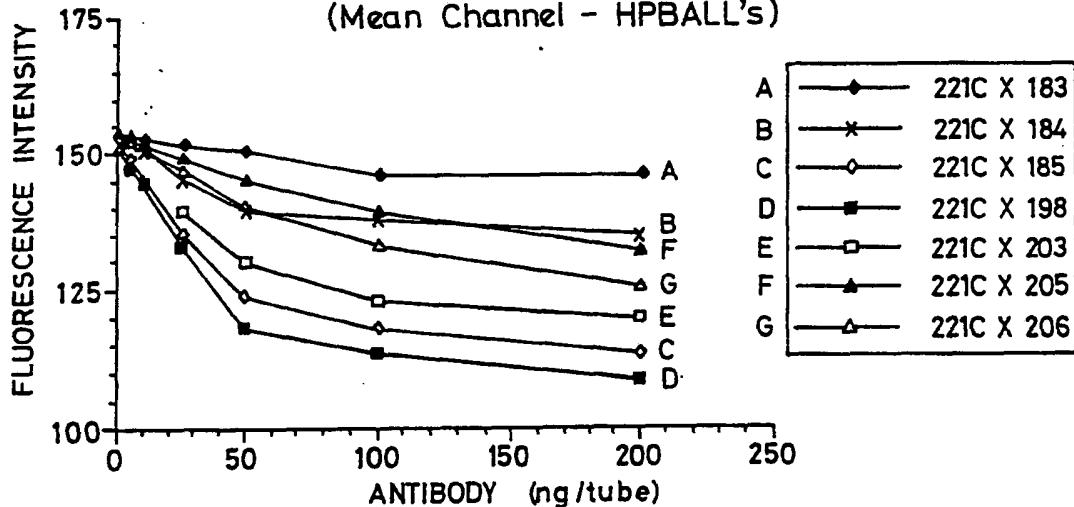
13 / 15

Fig. 11

OKT3 - GRAFTED HEAVY CHAINS  
BINDING ASSAY  
(Mean Channel - HPBALL's)



OKT3 GRAFTED HEAVY CHAINS  
BLOCKING ASSAY  
(Mean Channel - HPBALL's)

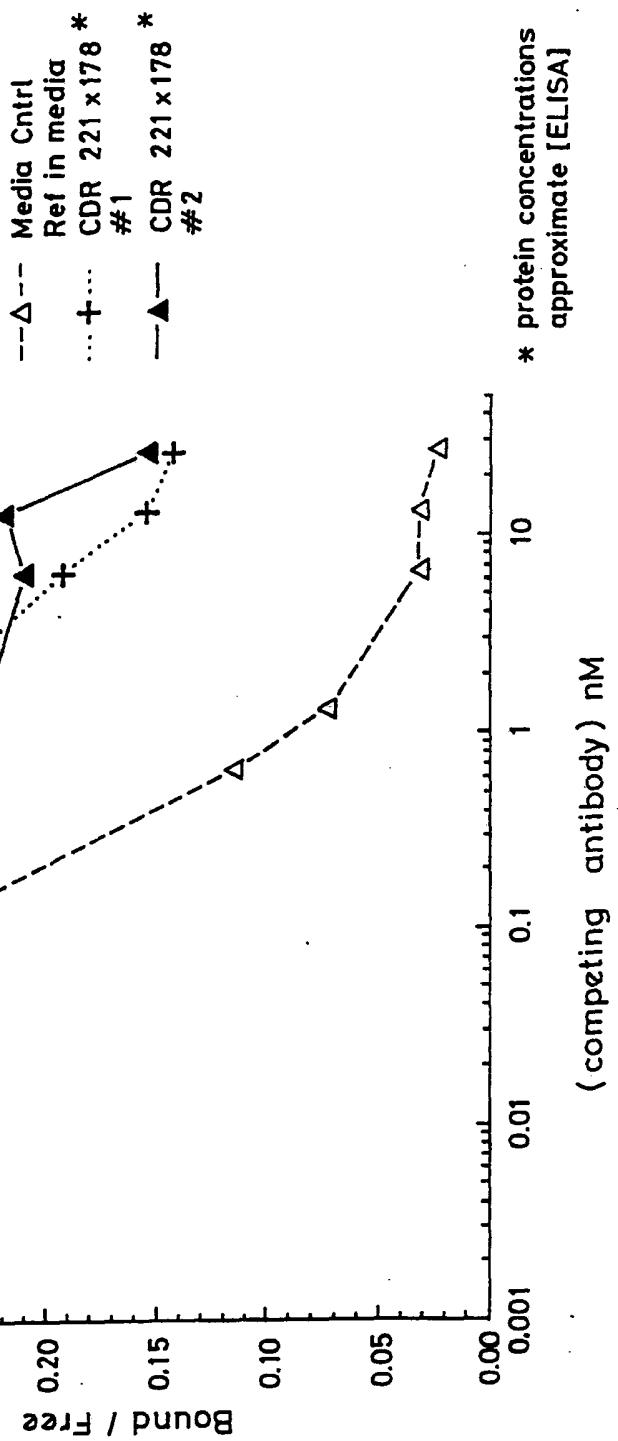


— (183)	—, 48, 49, 71, 73, 76, 78, 88, 91,
— (205)	—, 24, 48, 49, 71, 73, 76, 78, 88, 91,
— (184)	6, 23, 24, —, —, —, —, —,
— (206)	—, 24, 48, 49, 71, 73, 76, 78, —, —,
— (203)	6, —, 24, 48, 49, 71, 73, 76, 78, 88, 91,
— (185)	6, 23, 24, 48, 49, 71, 73, 76, 78, 88, 91,
— (198)	6, 23, 24, 48, 49, 71, 73, 76, 78, —, —,

14 / 15

Fig. 12

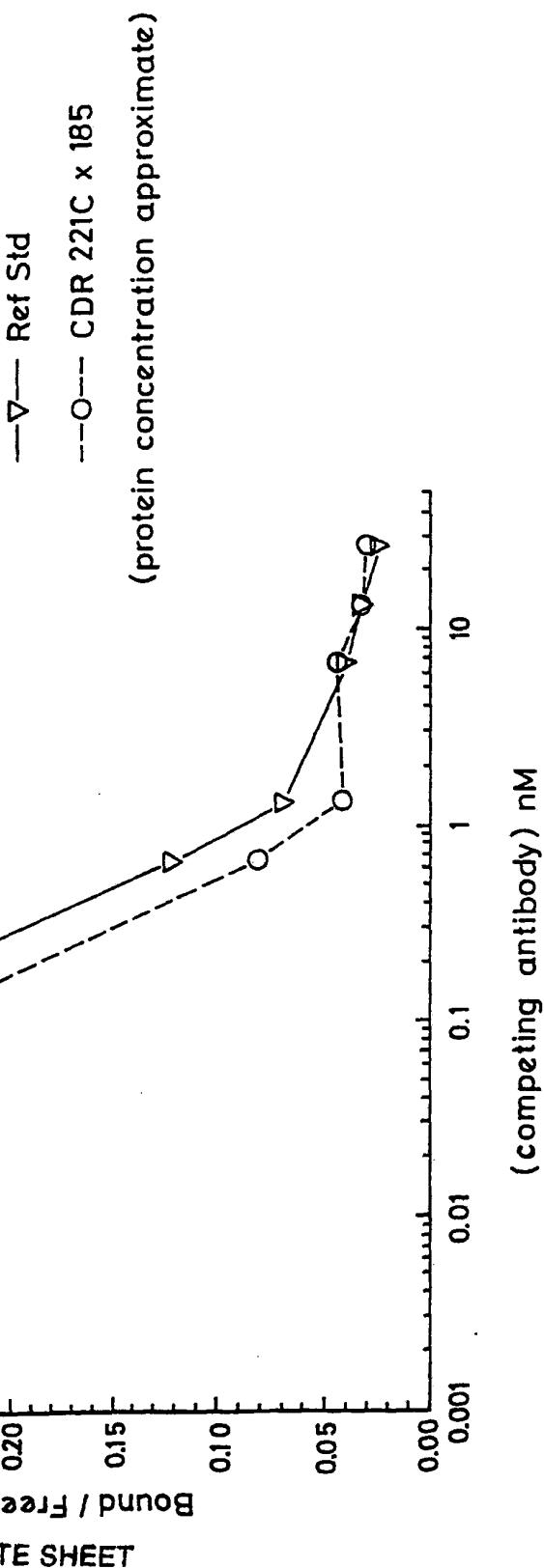
OKT 3 Competition  
Murine Ref Std vs. CDR Grafted OKT 3



SUBSTITUTE SHEET

15 / 15

Fig. 13

OKT3 Competition  
Murine Ref Std vs. CDR Grafted OKT3

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/GB 90/02017

## I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all)<sup>6</sup>

According to International Patent Classification (IPC) or to both National Classification and IPC

**IPC5: C 12 P 21/08, C 12 N 15/13, A 61 K 39/395, C 07 K 15/06**  
**C 12 N 5/10, 15/62**

## II. FIELDS SEARCHED

Minimum Documentation Searched<sup>7</sup>

Classification System	Classification Symbols
IPC5	C 12 P; C 12 N; A 61 K

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in Fields Searched<sup>8</sup>

## III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup>

Category	Citation of Document <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
P,X	EP, A1, 0403156 (GENZYME CORPORATION ET AL.) 19 December 1990, see examples 8-12 and corresponding tables --	1,6,8, 13,14- 22
Y	Proc. Natl. Acad. Sci. USA, vol. 86, December 1989, C. Queen et al.: "A humanized antibody that binds to the interleukin 2 receptor ", see page 10029- page 10033 see the whole document and in particular page 10031 right col. - page 10032, left col. and page 10033 left col. --	1,6,8, 13,14- 22
Y	EP, A1, 0328404 (MEDICAL RESEARCH COUNCIL ET AL.) 16 August 1989, see pages 1-3, page 9, lines 49-54 and the claims --	1,6,8, 13,14- 22

### \* Special categories of cited documents:<sup>10</sup>

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance, the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance, the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search      Date of Mailing of this International Search Report

11th April 1991

17.05.91

International Searching Authority

Signature of Authorized Officer

EUROPEAN PATENT OFFICE

F.W. HECK

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
Y	Nature, vol. 332, March 1988, L. Riechmann et al.: "Reshaping human antibodies for therapy ", see page 323 - page 327 see in particular page 327, right col. --	1,6,8, 13,144- 22
A	Nature, vol. 321, May 1986, P.T. Jones et al.: "Replacing the complementarity-determining regions in a human antibody with those from a mouse ", see page 522 - page 525 see the whole document --	1-22
A	Nature, vol. 328, August 1987, S. Roberts et al.: "Generation of an antibody with enhanced affinity and specificity for its antigen by protein engineering ", see page 731 - page 734 see the whole document --	1,6
A	Science, vol. 239, 1988, M. Verhoeyen et al.: "Reshaping Human Antibodies: Grafting an Antilysozyme Activity ", see page 1534 - page 1536 see the whole document --	1,6
A	EP, A2, 0239400 (WINTER, GREGORY PAUL) 30 September 1987, see the whole document --	1,6,17- 22
A	EP, A1, 0323806 (CIBA-GEIGY AG) 12 July 1989, see pages 2-6 --	1,6,17- 22
A	Nature, vol. 341, October 1989, E.S. Ward et al.: "Binding activities of a repertoire of single immunoglobulin variable domains secreted from Escherichia coli ", see page 544 - page 546 -- -----	1,6

ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.PCT/GB 90/02017

SA 43080

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report.  
The members are as contained in the European Patent Office EDP file on 28/02/91  
The European Patent office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP-A1- 0403156	19/12/90	NONE		
EP-A1- 0328404	16/08/89	AU-D-	3062689	06/09/89
		GB-A-	2216126	04/10/89
		WO-A-	89/07452	24/08/89
EP-A2- 0239400	30/09/87	GB-A-B-	2188638	07/10/87
		JP-A-	62296890	24/12/87
EP-A1- 0323806	12/07/89	AU-D-	2759588	06/07/89
		JP-A-	2154696	14/06/90

For more details about this annex : see Official Journal of the European patent Office, No. 12/82



US005219996A

**United States Patent [19]****Bodmer et al.****Patent Number: 5,219,996****Date of Patent: Jun. 15, 1993**

[54] RECOMBINANT ANTIBODIES AND METHODS FOR THEIR PRODUCTION IN WHICH SURFACE RESIDUES ARE ALTERED TO CYSTEINE RESIDUES FOR ATTACHMENT OF EFFECTOR OR RECEPTOR MOLECULES

[75] Inventors: Mark W. Bodmer, Oxfordshire; John R. Adair, High Wycombe; Nigel R. Whittle, Surrey; Alan H. Lyons, Maidenhead; Raymond J. Owens, Henley-on-Thames, all of United Kingdom

[73] Assignee: Celltech Limited, Berkshire, United Kingdom

[21] Appl. No.: 353,634

[22] PCT Filed: Sep. 5, 1988

[86] PCT No.: PCT/GB88/00729

§ 371 Date: Jul. 3, 1989

§ 102(e) Date: Jul. 3, 1989

[87] PCT Pub. No.: WO89/01782

PCT Pub. Date: Mar. 9, 1989

**[30] Foreign Application Priority Data**

Sep. 4, 1987 [GB] United Kingdom ..... 8720833

[51] Int. Cl. 5 C07K 15/28; A61K 39/395; C12P 21/08

[52] U.S. Cl. ..... 530/387.3; 530/387.1; 530/391.1; 530/391.5; 424/85.8; 424/85.91; 435/69.6; 435/70.21; 435/172.2; 435/172.3; 435/240.27; 435/320.1

[58] Field of Search ..... 424/85.8, 85.91; 435/69.1, 69.6, 70.21, 172.1, 172.2, 172.3, 240.27, 320.1; 530/387-389, 387.1, 387.3, 391.5, 391.1

[56]

**References Cited****U.S. PATENT DOCUMENTS**

4,751,077 6/1988 Bell et al. ..... 424/85

**FOREIGN PATENT DOCUMENTS**

0171496 2/1986 European Pat. Off. .

0173494 3/1986 European Pat. Off. .

0239400 9/1987 European Pat. Off. .

8601533 3/1986 PCT Int'l Appl. .

8702671 5/1987 PCT Int'l Appl. .

**OTHER PUBLICATIONS**

Lyons et al, "Site-specific attachment to recombinant antibodies via introduced surface cysteine residues", Protein Engineering vol. 3, No. 8, 1990, pp. 703-708. Kabat, "Investigation and Exploitation of Antibody Combining Sites", Plenum Press, 1985, pp. 3-22.

Davies et al, "Investigation and Exploitation of Antibody Combining Sites", Plenum Press, 1985, pp. 51-60. Novotny et al, "Antigenic determinants in proteins coincide with regions accessible to large probes (antibody domains)", Proc. Natl. Acad. Sci. vol. 83, Jan. 1986, pp. 226-230.

Connolly, "Solvent-Accessible Surfaces of Proteins and Nucleic Acids", Science, Aug. 1983, vol. 221, pp. 709-713.

*Primary Examiner*—David L. Lacey

*Assistant Examiner*—Robert D. Budens

*Attorney, Agent, or Firm*—Cushman, Darby & Cushman

[57]

**ABSTRACT**

The present invention provides an altered antibody molecule wherein a residue in a surface pocket on the molecule has been changed to a cysteine residue to introduce a thiol group in the surface pocket and a process for its production by recombinant DNA technology.

**10 Claims, 4 Drawing Sheets**

## FIG. 1A

4 1  
\* \*

G4ch1      ASTKGPSVFP LAPCSRSTSE STAALGCLVK DYFPEPVTVS  
              2    53  
              \*    \*\*  
              WNSGALTSGV

G3ch1      ASTKGPSVFP LAPCSRSTSG GTAALGCLVK DYFPEPVTVS  
              WNSGALTSGV

G2ch1      ASTKGPSVFP LAPCSRSTSE STAALGCLVK DYFPEPVTVS  
              WNSGALTSGV

G1ch1      ASTKGPSVFP LAPSSKSTSG GTAALGCLVK DYFPEPVTVS  
              WNSGALTSGV

6              7  
\*              \*  
G4ch1      HTFPAVLQSS GLYSLSSVVT VPSSSLGTKT YTCNVDHKPS  
              8  
              \*  
              NTKVDKRV

G3ch1      HTFPAVLQSS GLYSLSSVVT VPSSSLGTQT YTCNVNHKPS  
              NTKVDKRV

G2ch1      HTFPAVLQSS GLYSLSSVVT VPSSNFGTQT YTCNVDHKPS  
              NTKVDKTV

G1ch1      HTFPAVLQSS GLYSLSSVVT VPSSSLGTQT YICNVNHKPS  
              NTKVDKKV

## FIG. 1B

Surface property.

OLIGO  
SEQUENCES -Original  
sequences are  
in brackets.

	(CG)	
*1*	CTGAGTTCCAGCACACCGTCAC	Pocket
	(CA)	
*2*	TCAGGGCGCCGCAGTTCCACGA	Flat
	(T)	
*3*	TGCACGCCGCAGGTCAAGGGCG	Convex
	(CGT)	
*4*	TCCACGACACGCACACCGGTTCG	Flat
	(GT)	
*5*	CACGCCGCTGCACAGGGCGCCT	Convex
	(GT)	
*6*	AGCCGGGAAGCAGTGCACGCCG	Pocket
	(GT)	
*7*	GCAGGTGTAGCACTTCGTGCC	Flat
	(GT)	
*8*	GTCCACCTTGCAGTTGCTGGGC	Convex

**FIG. 2A**

10 30 50  
 GAATTCCCACTGACTCTAACCATGGAATGGAGCTGGGTCTTCTCTTCAGTA  
 MetGluTrpSerTrpValPheLeuPhePheLeuSerVal  
 70 90 110  
 ACTACAGGTGTCCACTCCCAGGTTCAGCTGCAGCAGTCTGACGCTGAGTTGGTGAAACCT  
 ThrThrGlyValHisSerGlnValGlnLeuGlnGlnSerAspAlaGluLeuValLysPro  
 130 150 170  
 GGGGCTTCAGTGAAGATATCCTGCAAGGCTTCTGGCTACACCTTCACTGACCATGCTATT  
 GlyAlaSerValLysIleSerCysLysAlaSerGlyTyrThrPheThrAspHisAlaIle  
 190 210 230  
 CACTGGCGAACGAGAAGCCTGAACAGGGCTGGAATGGATTGGATATATTCTCCCGGA  
 HisTrpAlaLysGlnLysProGluGlnGlyLeuGluTrpIleGlyTyrIleSerProGly  
 250 270 290  
 AATGATGATATTAAGTACAATGAGAAGTTCAAGGGCAAGGCCACACTGACTGCAGACAAA  
 AsnAspAspIleLysTyrAsnGluLysPheLysGlyLysAlaThrLeuThrAlaAspLys  
 310 330 350  
 TCCTCCAGCACTGCCTACATGCAGCTAACAGCCTGACATCTGAGGATTCTGCAGTGTAT  
 SerSerSerThrAlaTyrMetGlnLeuAsnSerLeuThrSerGluAspSerAlaValTyr  
 370 390 410  
 TTCTGTAAAAGATCGTACTACGGCCACTGGGGCCAAGGCACCACTCTCACAGTCTCCTCA  
 PheCysLysArgSerTyrTyrGlyHisTrpGlyGlnGlyThrThrLeuThrValSerSer

**FIG. 2B**

10 30 50  
 ATCACACACACACACATGAGTGTGCCACTCAGGTCTGGGGTTGCTGCTGTGGCTT  
 MetSerValProThrGlnValLeuGlyLeuLeuLeuLeuTrpLeu

 70 90 110  
 ACAGATGCCAGATGTGACATCCAGATGACTCAGTCTCCAGCCTCCCTATCTGTATCTGTG  
 ThrAspAlaArgCysAspIleGlnMetThrGlnSerProAlaSerLeuSerValSerVal

 130 150 170  
 GGAGAAACTGTCACCACATGTCGAGCAAGTGAGAATATTTACAGTAATTAGCATGG  
 GlyGluThrValThrIleThrCysArgAlaSerGluAsnIleTyrSerAsnLeuAlaTrp

 190 210 230  
 TATCAACAGAACAGGGAAAATCTCCTCAGCTCCTGGTCTATGCTGCAACAAACTTAGCA  
 TyrGlnGlnLysGlnGlyLysSerProGlnLeuLeuValTyrAlaAlaThrAsnLeuAla

 250 270 290  
 GATGGTGTGCCATCAAGGTTCAAGTGGCAGTGGATGGCACACAGTATTCCCTCAAGATC  
 AspGlyValProSerArgPheSerGlySerGlySerGlyThrGlnTyrSerLeuIle

 310 330 350  
 AACAGCCTGCAGTCTGAAGATTTGGGAGTTATTACTGTCAACATTGGGGTACTCCG  
 AsnSerLeuGlnSerGluAspPheGlySerTyrTyrCysGlnHisPheTrpGlyThrPro

 370 390 410  
 TACACGTTGGAGGGGGGACCAAGGCTGGAAATAAACGGGCTGATGCTGCACCAACTGTC  
 TyrThrPheGlyGlyThrArgLeuGluIleLysArgAlaAspAlaAlaProThrVal

**RECOMBINANT ANTIBODIES AND METHODS  
FOR THEIR PRODUCTION IN WHICH SURFACE  
RESIDUES ARE ALTERED TO CYSTEINE  
RESIDUES FOR ATTACHMENT OF EFFECTOR  
OR RECEPTOR MOLECULES**

The present invention relates to an altered antibody molecule having therein a specific thiol group for use in attachment to the antibody molecule of effector or reporter molecules and to a process for its production using recombinant DNA technology.

In the present application:

the term "MAb" is used to indicate a monoclonal antibody;

the term "recombinant antibody molecule" (RAM) is used to describe an antibody produced by any process involving the use of recombinant DNA technology, including any analogues of natural immunoglobulins or their fragments; and

the term "humanised antibody molecule" (HAM) is used to describe a molecule having an antigen binding site derived from an immunoglobulin from a non-human species, the remaining immunoglobulin-derived parts of the molecule being derived from a human immunoglobulin. In a HAM the antigen binding site may comprise either complete variable domains fused onto constant domains or only the complementarity determining regions grafted onto appropriate framework regions in the variable domains.

In the description, reference is made to a number of publications by number. The publications are listed in numerical order at the end of the description.

Natural immunoglobulins have been known for many years, as have the various fragments thereof, such as the Fab, (Fab')<sub>2</sub> and Fc fragments, which can be derived by enzymatic cleavage. Natural immunoglobulins comprise a generally Y-shaped molecule having an antigen-binding site at the end of each arm. The remainder of the structure, and particularly the stem of the Y, mediates the effector functions associated with immunoglobulins.

Natural immunoglobulins have been used in diagnosis and, to a more limited extent, in therapy. However, such uses, especially in therapy, have been hindered by the polyclonal nature of natural immunoglobulins. A significant step towards the realisation of the potential of immunoglobulins as therapeutic agents was the discovery of monoclonal antibodies (1) of defined antigen specificity. Most MAbs are produced by fusions of rodent spleen cells with rodent myeloma cells. They are therefore essentially rodent MAbs. There are very few reports of the production of human MAbs.

There have been made proposals for making non-human MAbs less antigenic in humans. Such techniques can be generically termed "humanizing" MAbs. These techniques generally involve the use of recombinant DNA technology to manipulate DNA sequences encoding the polypeptide chains of the antibody molecule.

Some early methods for carrying out such a procedure are described in EP-A-0 71 496 (Res. Dev. Corp. Japan, EP-A-0 173 494 (Stanford University), EP-A-0 194 276 (Celltech Limited) and WO-A-8 702 671 (Int. Gen. Eng. Inc.).

In an alternative approach, described in EP-A-65 87302620.7 (Winter), the complementarity determining regions (CDRs) of a mouse MAb have been grafted onto the framework regions of the variable domains of

a human immunoglobulin by site directed mutagenesis using long oligonucleotides.

It has been widely suggested that immunoglobulins, and in particular MAbs, could potentially be very useful in the diagnosis and treatment of cancer (2,3). There has therefore been much activity in trying to produce immunoglobulins or MAbs directed against tumour-specific antigens. So far, over one hundred MAbs directed against a variety of human carcinomas have been used in various aspects of tumour diagnosis or treatment (4).

In our copending application Ser. No. 353,632 (ref; PA 149), also claiming priority from British patent application No. 8720833, there is described a humanised antibody molecule (HAM) having an antigen binding site wherein at least the complementarity determining regions (CDRs) of the variable domain are derived from the mouse monoclonal antibody B72.3 (B72.3 MAb) and the remaining immunoglobulin-derived parts of the HAM are derived from a human immunoglobulin. The B72.3 MAb is a mouse MAb of the type IgG1 raised against a membrane-enriched extract of a human liver metastasis of a breast carcinoma (5). The B72.3 MAb has been extensively studied in a number of laboratories. It has been shown to recognise a tumour-associated glycoprotein TAG-72, a mucin-like molecule with a molecular weight of approximately 10<sup>6</sup> (6). Immunohistochemical studies have demonstrated that the B72.3 MAb recognises approximately 90% of colorectal carcinomas, 85% of breast carcinomas and 95% of ovarian carcinomas. However, it shows no significant cross-reactivity with a wide spectrum of normal human tissues (7 to 10).

In order to increase the efficacy of immunoglobulin molecules as diagnostic or therapeutic agents, it has been proposed that effector or reporter molecules should be covalently linked thereto. However, this is not always possible to carry out conveniently. For instance, a potential site of attachment is a thiol group. Thiol groups occur naturally in proteins as cysteine residues. However, such residues are relatively uncommon, are often inside the molecule and are frequently involved in forming disulphide bridges within or between protein molecules. There is therefore a danger that, if any naturally occurring cysteine residue is used as a site of attachment, it will interfere with the normal folding and stabilization of the protein.

It has therefore been proposed that other side chains on a protein molecule be modified to produce a thiol group. For instance, lysine residues can be chemically modified to produce a thiol group on their side chains. However, this process will produce a thiol group on many or all available such lysine residues. It is therefore likely that there will be multiple possible attachment sites, making it impossible to determine in advance where any attachment will take place. Moreover, multiple attachment may cause interference with the biological activity of the protein. Further, with a number of extra thiol groups, it is possible that the new thiol groups will form inter- or intra-chain disulphide bonds which will alter the configuration and function of the protein.

It has also been proposed that the effector or reporter molecules may be attached by specific labelling of the carbohydrate moieties of immunoglobulins (11). This generally involves periodate oxidation of the sugar residues to produce active aldehydes. However, this procedure has its disadvantages, in that the oxidation

may also modify amino acids in the protein chains. For instance, methionine residues are readily oxidised. Moreover, the carbohydrate moieties are all located in the Fc portion of the immunoglobulin molecule. Therefore, it is not possible to use this method to label Fab or (Fab')<sub>2</sub> fragments of immunoglobulins.

It would therefore be desirable to provide a method by which effector or reporter molecules can be reproducibly and effectively attached to an immunoglobulin molecule in a site specific manner.

According to a first aspect of the present invention, there is provided an altered antibody molecule wherein a residue in a surface pocket on the molecule has been changed to a cysteine residue to introduce a thiol group in said surface pocket.

It will be understood by the skilled person that any protein molecule in its natural state adopts a folded configuration. Thus, the side chains of some of the amino acid residues are inside the folded protein and some are on the outside. Of those which are outside, some are located on convex surfaces, some on flat surfaces and some on concave surfaces. The concave surfaces are also described as pockets.

The skilled person would realise that the side chain of a residue on a flat or convex surface would probably protrude above the remainder of the protein. It would therefore be expected that, if such a residue were to be changed to a cysteine residue, the thiol group would be available for bonding to an effector or reporter molecule. Surprisingly, and contrary to this expectation, it has been found that if thiol groups are introduced in such positions, they are not available for such bonding. It would also be expected that a thiol group introduced as a side chain on an amino acid in a pocket would not be available for such bonding. However, surprisingly and contrary to this expectation, it has been found that such thiol groups are available and can be used to bond effector and reporter molecules to the antibody molecule. It may also have been expected that the introduction of such a thiol group would have grossly altered the macromolecular structure of the protein. Again, surprisingly and unexpectedly, it is found that this does not take place.

The altered antibody molecule of the present invention may comprise: a complete antibody molecule, having full length heavy and light chains; a fragment thereof, such as the Fab or (Fab')<sub>2</sub> fragment; or a light chain or heavy chain dimer so long as such a molecule has a thiol group introduced at a specified site and available for bonding.

In connection with this invention, "bonding" means forming covalent bonds to the thiol group of the cysteine residue.

It is envisaged that the altered antibody molecule 55 may be produced by conventional peptide synthesis. However, it is preferred that the altered antibody molecule is produced by recombinant DNA technology.

According to a second aspect of the present invention, there is provided a process for altering the bonding ability of one chain of a recombinant antibody molecule, which process comprises:

(a) producing an expression vector which contains an operon encoding said one chain but in which the sequence encoding a preselected amino acid residue located in a surface pocket of the chain has been altered so that the amino acid residue encoded by the altered sequence is a cysteine residue.

If desired, two or more amino acid residues in a single polypeptide chain may be altered, or one or more amino acid residues in each of the two chains may be altered.

Preferably, the sequence alteration(s) is (are) carried out by site directed mutagenesis.

An essential feature of this aspect of the invention is the preselection of the position of the amino acid residue which is to be altered. Since it is desired to introduce a thiol group, as the side chain of a cysteine residue 10 due, to enable an effector or reporter molecule to be attached to the RAM, it is desirable that:

(i) the side chain of the amino-acid to be altered should be of a similar size to that of the cysteine residue;

(ii) there are no intra-chain hydrogen bonds with the 15 residue to be altered;

(iii) there are no intra-chain hydrogen bonds which could form with the cysteine residue;

(iv) the thiol group should not be able to interact with or be hidden by any other parts of the RAM; and

(v) the cysteine residue can only be accessed by small molecules, for instance of about 0.13 nm diameter, and not by molecules of larger size, for instance of greater than 0.5 nm diameter. Thus, the cysteine residue will only be available for bonding to the effector or reporter 20 molecule and not to similar cysteine residues on the same or other chains.

The first three conditions will ensure, as far as possible, that the alteration to the new amino acid residue does not have any adverse effect on the conformation 30 and stability of the RAM. The second two criteria ensure, as far as possible, that the thiol group will be available for bonding, but only to the effector or reporter molecule, and not to other similarly altered chains, thus preventing cross-linking by disulphide bonding. Residues which fulfil the requirement of (i) include threonine and serine. Thus, preferably, the residue(s) which is altered is a serine or threonine residue in a surface pocket of the immunoglobulin molecule.

A preferred site for carrying out such alteration is the 40 CH1 domain, since alterations here and bonding of molecules thereto is unlikely to interfere with antigen binding or with the effector functions of the Fc portion (if present) of the altered antibody molecule. Advantageously, the residue in the CH1 domain which is altered 45 is Ser 156 or Thr 173 (according to the numbering system set forth by Kabat et al. (14). However, suitable sites for alteration may be found in any of the domains of the antibody molecule.

Preferably, the process of the second aspect of the 50 invention includes the steps of:

(b) transfecting a cell line with the vector; and

(c) culturing the transfected cell line to produce a recombinant antibody molecule of altered bonding ability.

If desired, the antibody which is to be altered may be a "humanised" antibody produced by either of the methods referred to above

In the process of the second aspect of the present invention, if the vector encodes only a single antibody 60 polypeptide chain, the product of the process will be a dimeric molecule. If a tetrameric molecule, similar to a natural immunoglobulin, is required, one of two alternative strategies may be employed.

In the first alternative, the cell line may also be transfected with a second vector, the first vector encoding a 65 heavy chain-derived polypeptide and the second vector encoding a complementary light chain-derived polypeptide. Preferably, the vectors are identical except in

so far as the coding sequences and selectable markers are concerned so as to ensure as far as possible that each polypeptide chain is equally expressed.

In the second alternative, the vector may include sequences coding for complementary light chain- and heavy chain-derived polypeptides.

If the vector encodes only a heavy chain polypeptide, it is also possible to produce a recombinant antibody molecule by using a host cell which naturally secretes a complementary light chain.

The present invention also includes cloning and expression vectors and transfected cell lines used in the process of the invention, therapeutic and diagnostic compositions containing the altered molecule of the invention and uses of such compositions in therapy and diagnosis.

Reporter or effector molecules may be attached to the altered antibody molecule by any convenient method. For instance, a method for attaching a radiolabel to an antibody is described in our earlier British patent applications Nos. 8800843 and 8812257.

The general methods by which the vectors may be constructed, transfection methods and culture methods are well known per se and form no part of the invention. Such methods are shown, for instance, in references 12 and 13.

The present invention is now described, by way of example only, with reference to the accompanying drawings in which:

FIGS. 1A and 1B show the DNA and amino acid sequence of the B72.3 HAM CH1 domain of a humanized B72.3 antibody molecule together with the sequences of 8 oligonucleotide primers used for site directed mutagenesis; and

FIGS. 2A and 2B show the DNA sequences encoding the unprocessed variable regions of the B72.3 MAb obtained by sequencing the cDNA clones pBH41 and pBL52. Panel A shows the sequence coding for the VH region and the predicted amino acid sequence. Panel B shows the sequence coding for the VL region and the first 21 residues of the CL region, together with the predicted amino acid sequence. The points of fusion with the human C regions are indicated with arrows. The putative sites of cleavage of the signal peptide are indicated by open triangle. The numbers refer to the nucleotides in the original cDNA clones.

In our copending application Ser. No. 353,632, now abandoned, (PA149) referred to above, there is described the production of humanised B72.3 MAbs having various human IgG heavy chain domains. The application also shows the production of humanised B72.3 F(ab')<sub>2</sub> fragments. The results set out hereafter were obtained by use of the humanised B72.3 MAbs obtained as described below.

#### Molecular Cloning and Sequence of the B72.3 Heavy and Light Chain cDNAs

Polyadenylated RNA was isolated from the B72.3 hybridoma cell line using the guanidinium isothicyanate/cesium chloride method (12). Double stranded cDNA was synthesized (18) and a cDNA library was constructed in bacteriophage  $\lambda$ gt10 vector using EcoRI linkers (19). Two screening probes were synthesized, complementary to mouse immunoglobulin heavy and light chain constant regions. The heavy chain probe was 19 mer complementary to residues 115-133 in the CH1 domain of the mouse  $\tau 1$  sequence (20). The light chain probe was a 20 mer complemen-

tary to residues 4658-4677 of the genomic mouse CK sequence (21). The probes were radio-labelled at the 5' terminus with [ $\tau$  <sup>32</sup>P] ATP using T4 polynucleotide kinase (Amersham International) and used to screen the cDNA library.

Clones which contained the complete leader, variable and constant regions of both the heavy and light chains were isolated. The EcoRI cDNA inserts were subcloned into M13mp8 vectors for sequencing (22), generating a heavy chain clone, designated pBH41, and a light chain clone, designated pBL52. Nucleotide sequence analysis was carried out according to the chain termination procedure (16).

The 980 base pair EcoRI insert in pBL52 was fully sequenced (16). The EcoRI insert in pBH41 was shown to comprise approximately 1700 base pairs by agarose gel electrophoresis. The variable domain and the 5' region of the CH1 domain were sequenced, as was the 3' end of the clone to confirm the presence of the correct mouse  $\tau 1$  termination sequences. The DNA and predicted amino acid sequences for the unprocessed variable regions of pBH41 and pBL52 are shown in FIG. 2. Examination of the derived amino acid sequence revealed considerable homology with other characterized immunoglobulin genes, and enabled the extent of the leader, variable and constant domains to be accurately determined. In addition, MAb B72.3 was confirmed to be an IgG1 K antibody, as previously reported (5).

#### Construction of the Chimeric Mouse-Human Heavy Chain Clone

A genomic clone containing sequences coding for the human  $\tau 4$  region was isolated as a HindIII fragment from the cosmid COS Ig8 (23) and then cloned via pAT153 into M13ig130 as an EcoRI-BamHI fragment to form pJA78. Following DNA sequence analysis, an 18 mer oligonucleotide was synthesized and site specific mutagenesis was performed to convert a C residue to an A residue, thereby generating a novel HindIII site at the start of the CH1 exon, to yield pJA91.

Site directed mutagenesis was performed (24) using EcoRI- and BglI-cut M13mp18 to generate a gapped duplex with the relevant phage template. DNA was transformed into *E. coli* HB2154 and resultant transformants were propagated on *E. coli* HB2151 (Anglian Biotechnology Ltd) as described in the protocols provided. All mutations were sequenced using the chain termination procedure (16). All sequenced fragments were subsequently recloned mutations which may have occurred during the mutagenesis procedure.

The VH domain from the B72.3 heavy chain cDNA, cloned in M13mp9 as pBH41, was isolated as an EcoRI-BglI fragment and introduced into the EcoRI-HindIII sites of pJA91 in conjunction with a 32 base pair BglII-HindIII adapter to yield pJA93. The product was, therefore, a chimeric immunoglobulin heavy gene containing a variable region derived from a mouse cDNA clone fused to a sequence, comprising the CH1, H, CH2 and CH3 domains separated by introns, derived from a human genomic clone. The accuracy of the variable/-constant region junction was confirmed by nucleotide sequence analysis.

#### Construction of the Chimeric Mouse-Human Light Chain Gene

The mouse light chain cDNA clone, pBL52, contains a cutting site for MboII 18 base pairs downstream from

the junction of the variable and constant domains. Due to sequence homology between the mouse and human CK genes, an identical cutting site exists in the latter gene (25) and use of this site provides a method of fusing the mouse variable and human constant domains. Partial digestion of the EcoRI fragment containing the mouse cDNA clone with MboII generated a 416 base pair EcoRI-MboII fragment with a single residue overhang. A genomic clone, comprising an M13-derived vector containing the human C-kappa gene on a PstI-HindIII fragment was digested with FokI. A 395 base pair fragment containing the majority of C-kappa was cloned into pAT153 using EcoRI linkers to form pNW200. Digestion of a 945 base pair Scal-HindIII fragment, which could anneal with and be ligated to the 416 base pair ECoRI-MboII fragment described above. The two fragments were ligated into a pSP64 vector linearized with EcoRI and HindIII, and used to transform competent *E. coli* HB101. The variable/constant region junction was sequenced in order to confirm the correct fusion.

#### Construction of Expression Vectors for Transient Expression in COS Cells

The heavy and light chain chimeric genes, as well as the mouse heavy and light chain cDNA clones, were inserted separately into the unique EcoRI site of plasmid pEE6 (17). The light chain encoding plasmid was designated EE6.cL.neo. For the chimeric heavy chain, this was accomplished by using an oligonucleotide adapter to chain the 3' BamHI site to an EcoRI site to give an EcoRI fragment for cloning. The heavy chain encoding plasmid was designated EE6.cH.gpt. (also designated as JA96). This plasmid contains the strong promoter/enhancer and transcriptional control element from the human cytomegalovirus (hCMV) inserted into a unique HindIII site upstream of the EcoRI site. In addition, an SV40 origin of replication site is provided by the SV40 early promoter which drives a selectable marker gene, either a neomycin-resistance gene (neo) for light chain gene or a guanine phosphoribosyl transferase gene (gpt) for heavy chain genes, inserted into a unique BamHI site. The plasmid also contains an ampicillin-resistance gene allowing selection and propagation in bacterial hosts.

Expression of the heavy and light chain genes from the above plasmids leads to the production of the humanized antibody molecules referred to herein.

The nucleotide sequence and amino acid sequence of the CH1 domain of the humanised B72.3 molecule is shown in the drawing, to which reference is now made. In order to enable the humanised B72.3 antibody molecule to be bound to an effector or reporter molecule via a covalent linkage, a search was carried out for any serine or threonine residues located in a surface pocket and which satisfied the criteria set out as (i) to (v) previously. For the sake of comparison, other serine or threonine residues not meeting all the criteria were also selected.

The CH1 domain of the B72.3 molecule shows considerable sequence homology with that of the human antibody KOL. The KOL antibody is described by Kabat et al. (14). A crystal structure for the KOL antibody has been determined by x-ray crystallography. By making the necessary amino acid substitutions, it is possible to predict the structure of the B72.3 CH1 domain on the basis of the structure of the KOL CH1 domain.

On the basis of this prediction, a number of serine and threonine residues were selected. All were predicted to be located on the surface of the humanized B72.3 molecule, but it was predicted that some would be in pockets, some on flat surfaces and some on convex surfaces. The target residues were identified as Thr 153; Ser 156; Ser 163; Thr 167; Ser 68; Thr 173; Thr 205; and Thr 217. The residue numbering used herein corresponds to that set forth by Kabat et al. (14).

Oligonucleotide primers for use in site directed mutagenesis experiments according to the gapped-duplex method (15) were produced. These are shown in the drawing. In each case, the primer was designed to effect a change of one of the above threonine or serine residues to a cysteine residue. The altered DNA vectors have now been produced and sequenced by the chain termination procedure (16).

All altered gene fragments were subsequently recloned into plasmid PEE6.HCMV (17) for expression in mammalian cell systems. This plasmid contains the strong promoter/enhancer transcriptional control element from human cytomegalovirus (17). Five of the original eight proposed cysteine mutants, namely numbers 1, 3, 4, 6 and 7, were taken to this stage.

The synthesis and functional assembly of the altered humanised B72.3 antibodies were analysed by transient expression in COS cells (17). Each of the five heavy chain mutant genes were transfected into the cells together with the humanised B72.3 light chain gene. Cell supernatants were assayed for B72.3 antigen binding activity using an ELISA assay. Secretion and assembly of immunoglobulins was also evaluated by biosynthetic labelling and immunoprecipitation of the transfected COS cells. The results of both types of analysis showed that all five thiol mutant genes produced fully assembled tetrameric antibody molecules whose antigen binding properties were indistinguishable from the unaltered humanised B72.3 molecule. None of the mutants appeared to produce aggregated molecules.

The transient expression system did not produce sufficient amounts of the antibodies for more detailed biochemical characterisation. Thus stable cell lines expressing the modified B72.3 antibodies were established. The mutant heavy chain genes were transfected by electroporation into a Chinese hamster ovary (CHO) cell line which already produced the humanised B72.3 light chain. Transfected cell lines were selected using a drug resistance marker incorporated into the pEE6.HCMV plasmid and cells producing altered B72.3 antibody were cloned and expanded.

Recombinant antibodies (both unmodified and thiol mutants) were purified from CHO cell supernatants by affinity chromatography on protein A-sepharose and concentrated by ultrafiltration. Purified antibodies were shown to be fully assembled and non-aggregated by SDS-polyacrylamide gel electrophoresis and gel filtration HPLC, confirming the results of the transient expression experiments. Antigen binding was demonstrated by ELISA. Collectively, these results showed that substituting single cysteine residues at the surface of the CH1 domain of the heavy chain had not affected the synthesis, assembly and antigen binding activities of the altered antibodies. This appeared to be irrespective of the topographical position of the introduced thiol since all the mutants analysed behaved the same.

Since each immunoglobulin molecule comprises two heavy chains, the altered antibodies should have two free thiols if the cysteines remained in a reduced form.

The redox state of the surface cysteines was measured by titration using 4,4'-dithiodipyridine. Antibody samples (0.5 mg/ml) were added to 4,4'-dithiodipyridine (0.5 mM final concentration) and reaction with free thiol groups was monitored by an increase in absorbance at 324 nm. The results are summarised in the following table

Titration of free-SH groups on humanised B72.3 thiol mutants.		
Mutant No.	Position	No. free thiols
1	pocket	0.97
3	convex	0.30
4	flat	0.30
6	pocket	1.10
7	flat	0.30
B72.3	control	0.30

Mutants 3, 4 and 7 have approximately the same values for the number of free thiol groups as the unaltered humanised B72.3 control, indicating that the introduced cysteines are not available for bonding. It is conjectured that they are most probably blocked in some way, for example by reaction with glutathione in vivo or in vitro. On the other hand, mutants 1 and 6 gave titration levels significantly greater than the control, corresponding to at least one free thiol group per antibody molecule. The discrepancy between this and the expected value of two thiols per antibody suggested that some oxidation of the thiols may have occurred.

However, the results showed that cysteines positioned at flat (mutants 4 and 7) or convex (mutant 3) surfaces, i.e. with relatively high contact surface accessibility, would not be suitable for site-specific attachment since their thiol groups appear to be blocked. By contrast the two cysteines located in pocket sites (mutants 1 and 6) remain in a form available for bonding to the extent of at least one free thiol per antibody molecule.

To investigate whether the mutants shown to have free thiols could be used for site-specific attachment of a reporter or effector molecule, a thiol specific linker was synthesised. Tyrosineamide (0.1 mmol in 0.5M pipes buffer, pH 6.8) was reacted with N-succinimidyl-3-maleimidopropionate (0.015 mmol in 1,4-dioxane) to give 2-(3-N-maleimidyl)-N-propylamido-3-(4-hydroxy)phenylpropanoamide. This ligand is referred to as tyrosine maleimide and was labelled with <sup>125</sup>Iodine using chloramine T. The radioactive compound was purified by reverse phase HPLC. One of the thiol mutants (No. 6) was incubated with the iodinated probe (1 h, pH 5.5 at room temp.). Labelled antibody was separated from unincorporated ligand by either gel filtration or protein A-sepharose precipitation and analysed by SDS-polyacrylamide gel electrophoresis/autoradiography. Both humanised and hybridoma-derived mouse B72.3 were included as negative controls.

Humanised B72.3 that had been reacted with 2-imino-thiolane, which non-selectively introduces thiol groups onto lysine residues, was used as a positive control for the labelling procedure. The results of this analysis showed as expected that the thiol specific ligand tyrosine maleimide only labelled the heavy chain of the thiol mutant B72.3. By contrast the non-specifically modified humanised B72.3 was labelled on both heavy and light chains and also produced a number of aggregated molecules. Thus the site-specifically labelled antibody produced a more homogeneous product.

The process described above shows that cysteine residue may be substituted into the heavy chain of an antibody molecule in such a position that reporter molecule may be site-specifically attached to that antibody molecule through the introduced thiol. It shows that the thiol group must be introduced into a surface pocket in order for it to be able effectively to bond to the effector or reporter molecule.

It will be appreciated that the same procedure may be carried out on a different domain of the heavy or light chain of an antibody molecule. All that is necessary is to locate a suitable surface pocket site having therein an appropriate amino acid residue.

It will be appreciated that the present invention has been described above by way of illustration only, and that variations or modifications of detail can be made without departing from the scope of the invention.

#### REFERENCES

1. Kohler & Milstein, *Nature*, 265, 495-497, 1975.
2. Ehrlich, P., *Collected Studies on Immunity*, 2, John Wiley & Sons, New York, 1906.
3. Levy & Miller, *Ann.Rev.Med.*, 34, 107-116, 1983.
4. Schlom & Weeks, *Important Advances in Oncology*, 170-192, Wippincott, Philadelphia, 1985.
5. Colcher et al., *PNAS*, 78, 3199-3203, 1981.
6. Johnson et al., *Cancer Res.*, 46, 850-897, 1986.
7. Stramignoni et al., *Int.J.Cancer*, 31, 543, 552, 1983.
8. Nuti et al., *Int.J.Cancer*, 29, 539-545, 1982.
9. Thor et al., *J.Nat.Cancer Inst.*, 76, 995-1006, 1986.
10. Thor et al., *Cancer Res.*, 46, 3118-3124, 1986.
11. O'Shannessy & Quarles, *J. Immunol. Methods*, 99, 153-161, 1987.
12. Maniatis et al., *Molecular Cloning*, Cold Spring Harbor, New York, 1982.
13. Primrose and Old, *Principles of Gene Manipulation*, Blackwell, Oxford, 1980.
14. Kabat et al., *Sequences of Proteins of Immunological Interest*, Fourth Edition, U.S. Dept. of Health and Human Services, 1987.
15. Boshart et al., *Cell*, 41, 521-530, 1985.
16. Sanger et al., *PNAS*, 74, 5463-5467, 1977.
17. Whittle et al., *Prot. Eng.*, 1, 6, 499-530, 1985.
18. Gubler and Hoffman, *gene*, 25, 263-269, 1983.
19. Huynh et al., *Practical Approaches in Biochemistry*, IRL, Oxford (Ed. Glover, M.M.), 1984.
20. Honjo et al., *Cell*, 18, 559-568, 1979.
21. Max et al., *J. Biol. Chem.*, 256, 5116-5120, 1981.
22. Messing and Vieira, *Gene*, 19, 269-276, 1982.
23. Krawinkel and Rabbits, *EMBO J.*, 1, 403-407, 1982.
24. Kramer et al., *Nuc. Acids Res.*, 12, 9441-9446, 1984.
25. Heiter et al., *Cell*, 22, 197-207, 1980.

We claim:

1. An altered antibody molecule wherein an amino acid residue in a surface pocket on the molecule has been changed to a cysteine residue to introduce a thiol group in said surface pocket, such that said cysteine residue can only be accessed by small molecules of about 0.13 to 0.5 nm in diameter and such that said cysteine residue is only available for bonding to an effector or reporter molecule and not to a cysteine residue on the same or other antibody chains.

2. The altered antibody molecule of claim 1, made by recombinant DNA technology.

3. The altered antibody molecule of claim 1, which is a complete antibody molecule, an Fab fragment or an F(ab')<sub>2</sub> fragment.

4. The altered antibody molecule of any one of claims 1 to 3, wherein the alteration is in the CH1 domain.

5. The altered antibody molecule of claim 4, wherein the amino acid residue which has been changed is Ser 156 or Thr 173.

6. A process for providing the altered antibody of claim 1 which process comprises:

(a) producing an expression vector which contains an operon encoding one immunoglobulin chain but in which the sequence encoding a preselected amino acid residue located in the surface pocket of the chain has been altered so that the amino acid residue encoded by the altered sequence is a cysteine residue.

7. The process of claim 6, in which the alteration is carried out by site directed mutagenesis.

8. The process of claim 6 or claim 7, further including the steps of:

(b) transfecting a cell line with the vector; and  
(c) culturing the transfected cell line to produce a recombinant antibody molecule of altered bonding ability.

9. The process of claim 8, wherein the cell line is also 10 transformed with a second vector, the first vector encoding a heavy chain-derived polypeptide and the second vector encoding a complementary light-chain derived polypeptide.

10. The process of claim 8, wherein the expression 15 vector includes sequences coding for complementary light- and heavy- chain derived polypeptides.

\* \* \* \* \*

20

25

30

35

40

45

50

55

60

65